

# “Human Immune System” mouse models for preclinical risk assessment

Nicolas LEGRAND, Ph.D.

Director – Immunology

European Immunogenicity Platform  
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# Research model creation services at genOway



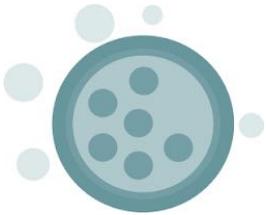
## Rodent & cell models

> 2000 catalog models for target & compound specificity validation

> 2000 tailor-made models

Unique and broad IP platform

Worldwide partnerships (academia & pharmaceutical/biotech companies)



## Cytokine-producing cell reporter models



## Gene-humanized models with enhanced translatability to human

- PK and PD studies (Hu-FcRn/Hu-albumin)
- IgE mediated inflammation and allergies (Hu-IgE/Hu-FcεR1)
- inflammation & auto-immune disease (Hu-CD4, Hu-TNF-α,...)
- Immune checkpoint and co-stimulatory molecules (OX40, PD-1, GITR,...)



## Cell-humanized models for prospective access to human cells in vivo

- Human hematopoietic cells (PBMC, CD34<sup>+</sup> HSPC,...)
- ± human tumor cells

# Construction of "Human Immune System" mice

**Human Immune System mice**



Mice humanized for cellular and molecular components of the hematopoietic system

**GENETIC HUMANIZATION**

Human DNA

**EXOGENOUS HUMANIZATION**

Human product

Immuno-deficient mice

**XENOGRAFT HUMANIZATION**

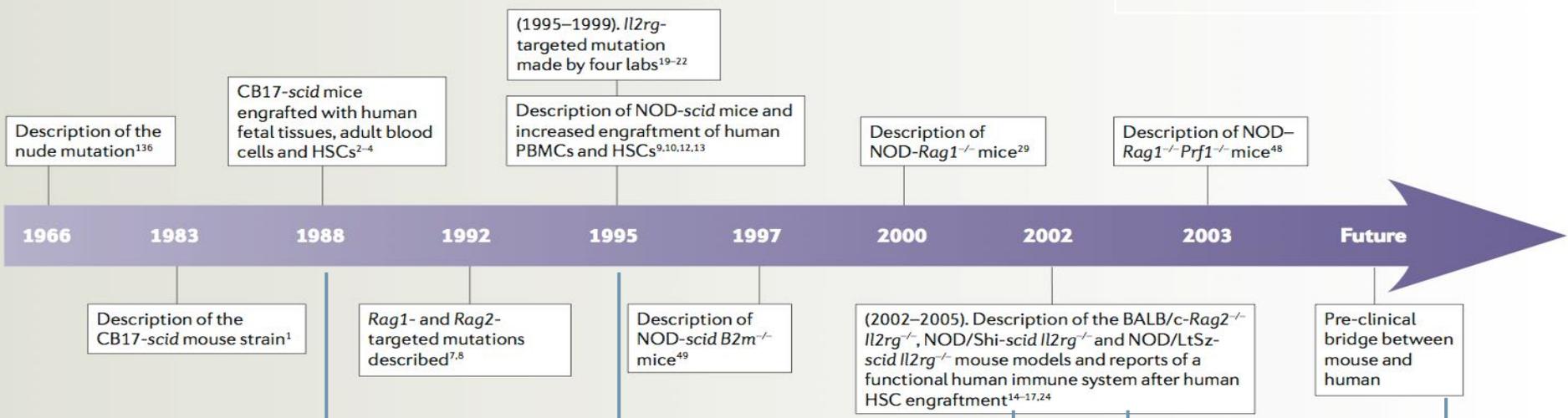
Human hematopoietic cells (CD34<sup>+</sup> HSPC; PBMC; ...)

Other tissues Tumors (PDX)

# Immuno-deficient mouse strains

## Timeline | Important events in the development of humanized mice

Shultz (2007), Nat. Rev. Immunol., 7:118

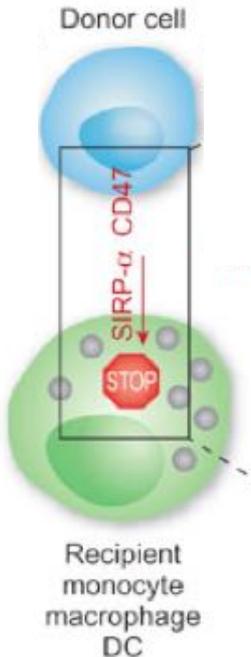


Hematopoietic reconstitution efficiency:  
 SCID < NOD/SCID < BRG < NSG/NOG, BRGS  
 (no mouse T/B/NK cells & tolerant phagocytes)

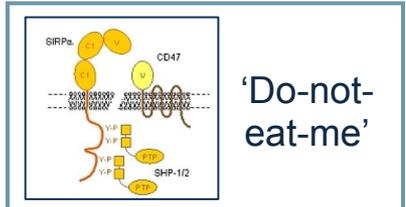
mouse T/B/NK

BRG = BALB/c *Rag2*<sup>tm1Fwa</sup> *Il2rg*<sup>tm1Cgn</sup>  
 BRGS = BALB/c *Rag2*<sup>tm1Fwa</sup> *Il2rg*<sup>tm1Cgn</sup> *Sirpa*<sup>NOD</sup>  
 NSG = NOD.Cg-Prkdc<sup>scid</sup> *Il2rg*<sup>tm1Wjl</sup>/SzJ  
 NOG = NOD/Shi-*scid* *Il2rg*<sup>null</sup>

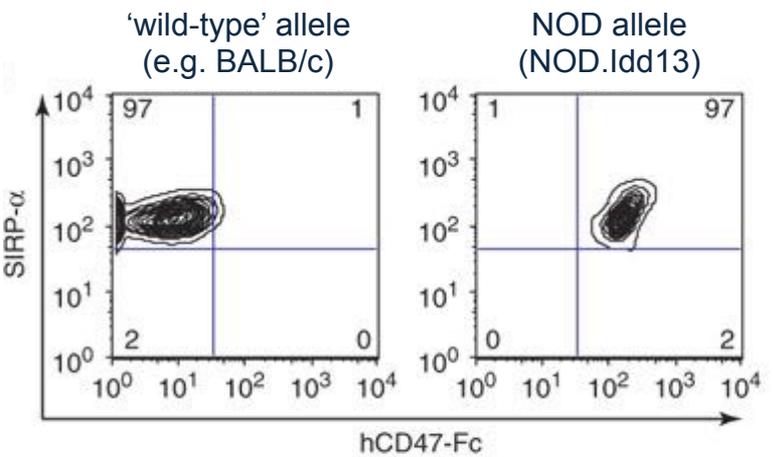
# The BRGS mouse strain



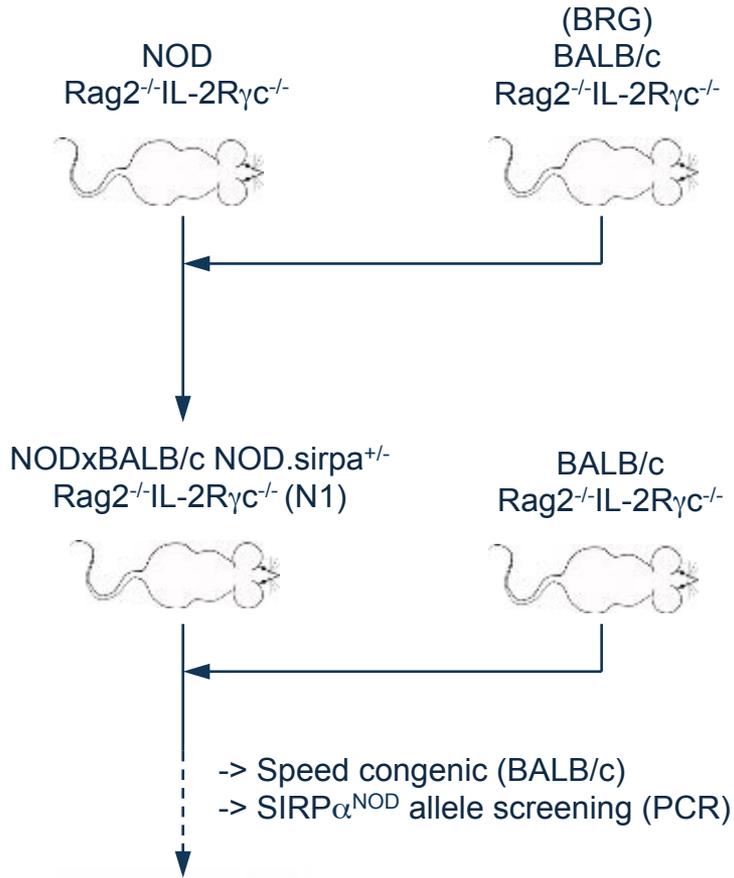
Effective CD47/SIRP $\alpha$  interaction to inhibit mouse phagocyte activity towards the human xenograft



Takizawa & Manz (2007), Nat. Immunol., 8:1287



Takenada (2007), Nat. Immunol., 8:1313



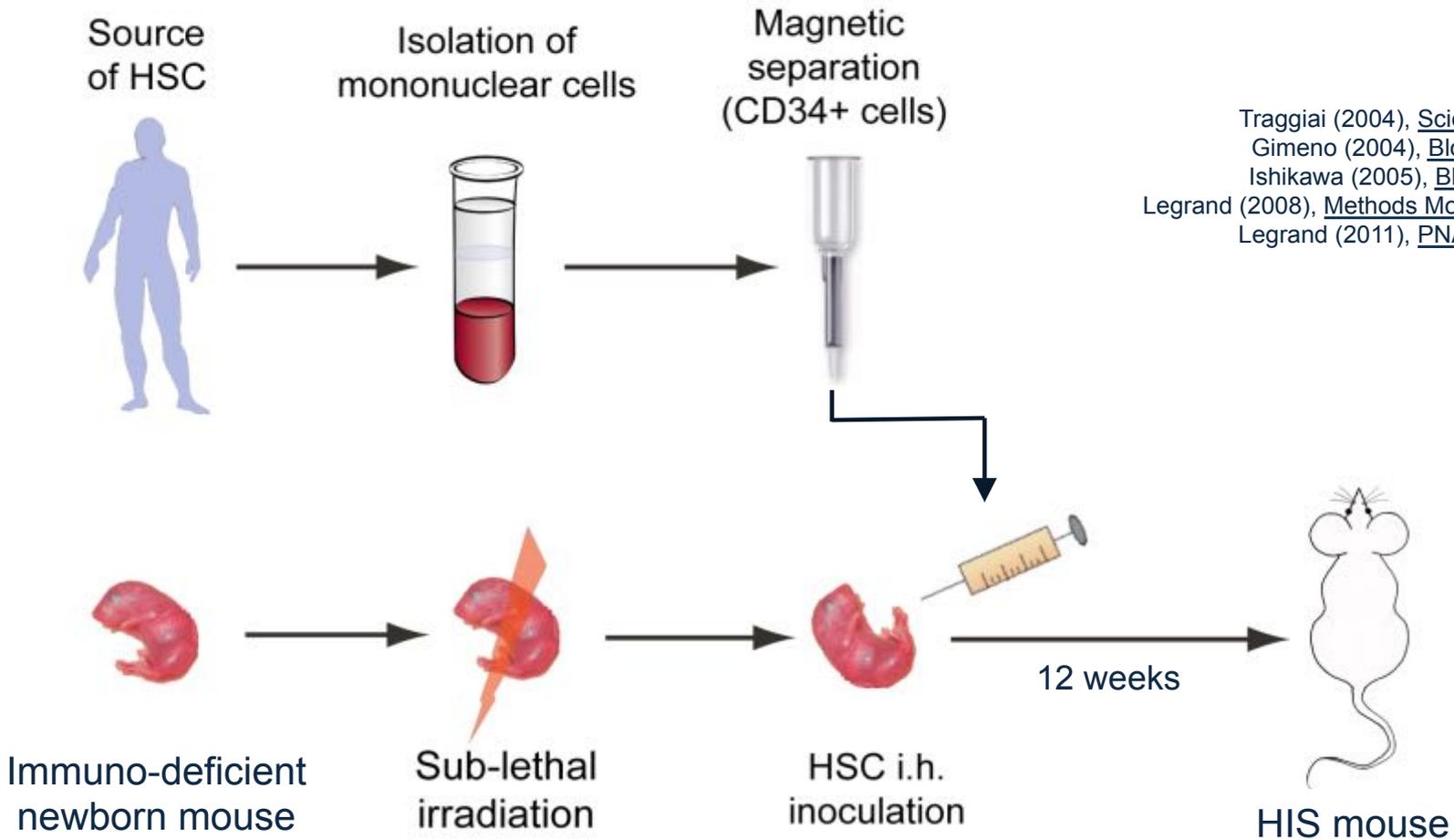
## BRGS mice

[BALB/c Rag2<sup>tm1Fwa</sup> Il2rg<sup>tm1Cgn</sup> Sirpa<sup>NOD</sup>]

- no mouse T/B/NK cells
- tolerant mouse phagocytes

Legrand (2011), PNAS, 108:13224

# A simple strategy for HIS mouse generation



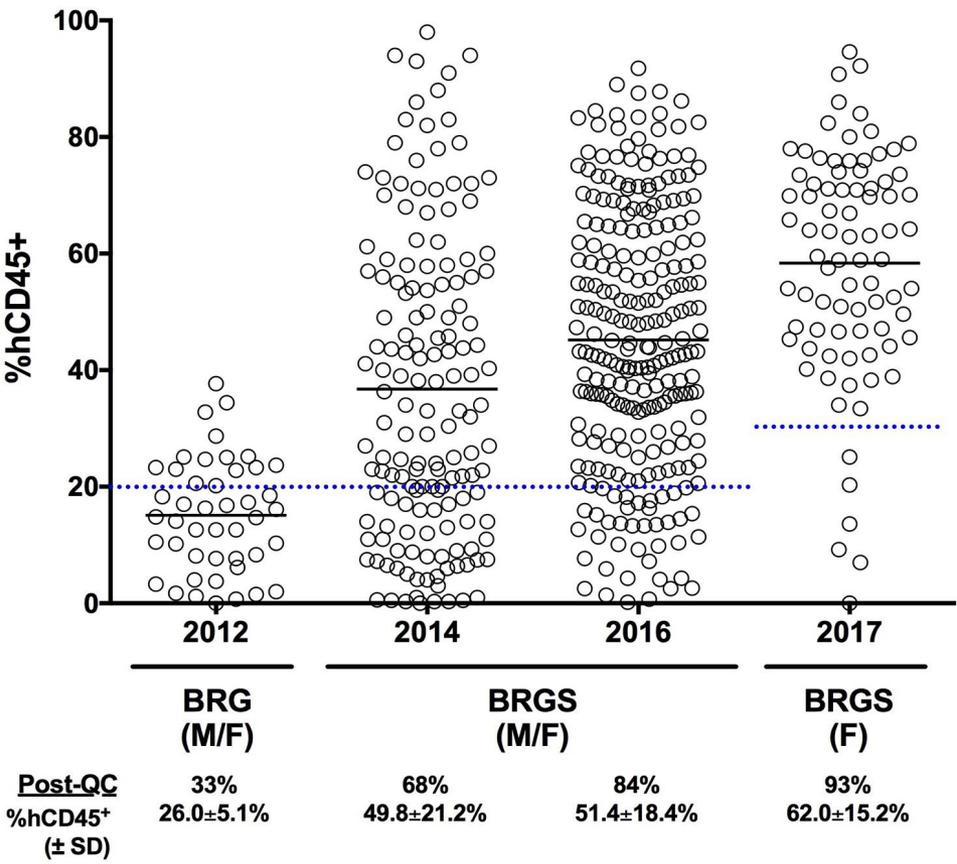
Traggiai (2004), Science, 304: 104  
Gimeno (2004), Blood, 104: 3886  
Ishikawa (2005), Blood, 106:1565  
Legrand (2008), Methods Mol. Biol., 415:65  
Legrand (2011), PNAS, 108:13224

Human hematopoietic reconstitution  
(multi-lineage; multi-organ)

HSC = Hematopoietic Stem Cells  
i.h. = intra-hepatic  
HIS = Human Immune System

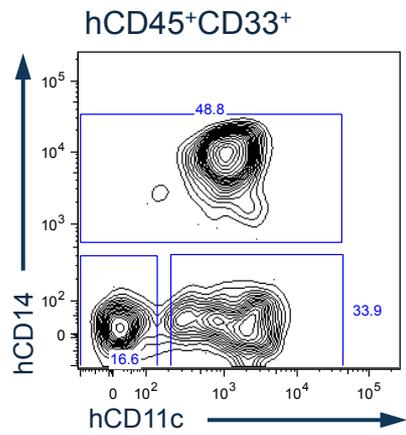
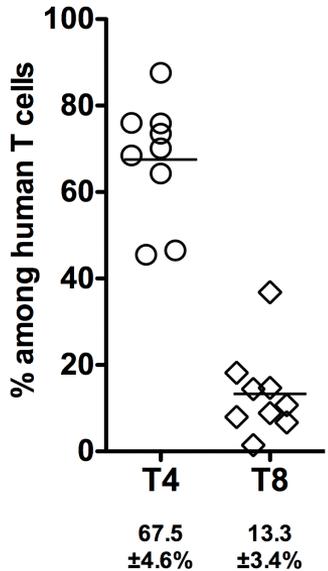
# High human reconstitution level in BRGS-HIS mice

Blood (T+12wks)



Subset distribution (blood, T+12wks)

- ✓ B cells 59.8 ± 7.7%
- ✓ T cells 10.7 ± 8.0%
- ✓ cDC 1.9 ± 0.6%

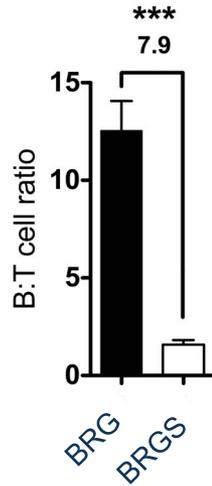
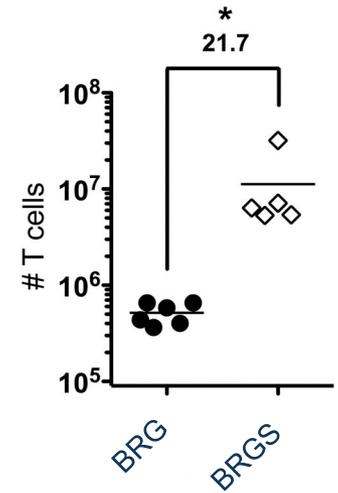
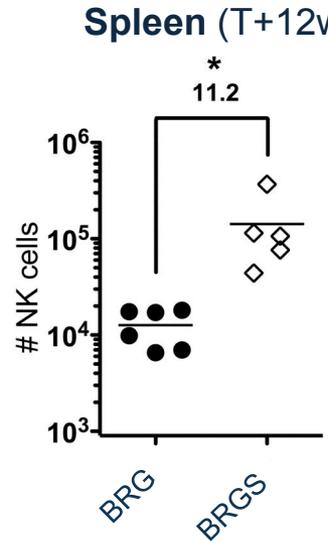
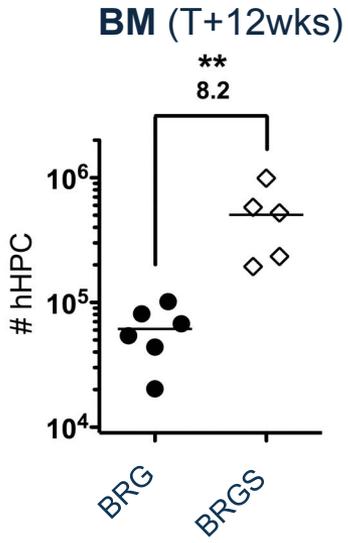


- ✓ HIS mice are routinely generated in females only;
- ✓ >95% of the HIS mice contain >30% hCD45+ leucocytes in blood at 12wks of age;

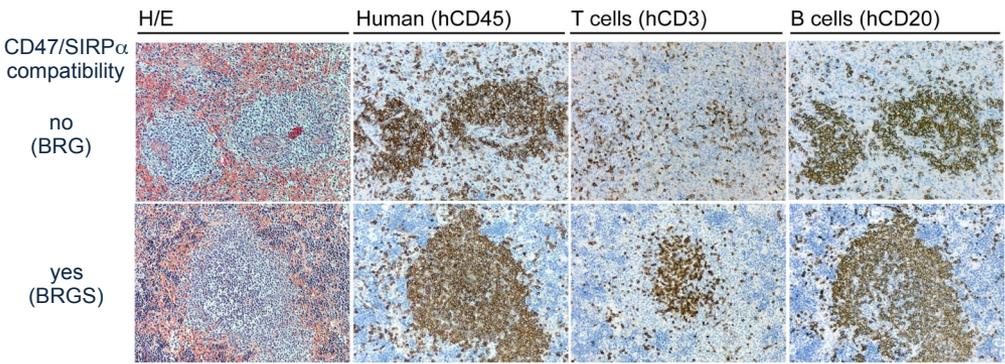
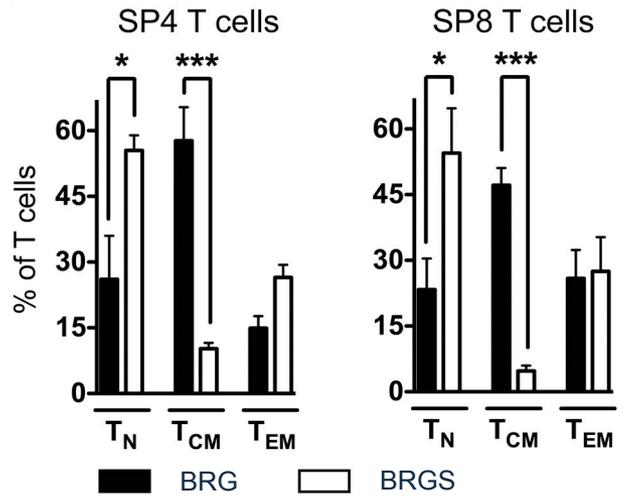
# Restored human leucocyte homeostasis in BRGS mice

**Total hCD45<sup>+</sup>**  
(fold increase over control BRG-HIS mice)

- ✓ BM: 2.5-fold
- ✓ Thymus: 2.0-fold
- ✓ Spleen: 7.5-fold
- ✓ Lymph nodes: 5-fold

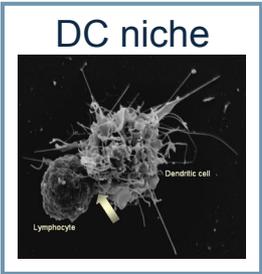


- ✓ Improved engraftment and accumulation of human hematopoietic progenitor cells (hHPC)
- ✓ Enhanced accumulation of all human hematopoietic subsets, in particular T & NK cells
- ✓ Selective improvement of T cell homeostasis



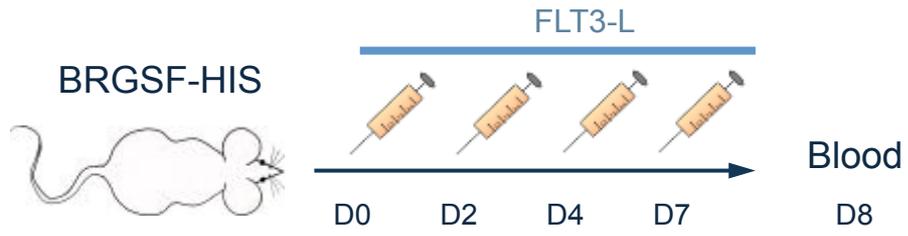
Legrand (2011), PNAS, 108:13224

# BRGSF-HIS mice for enhanced human DC density

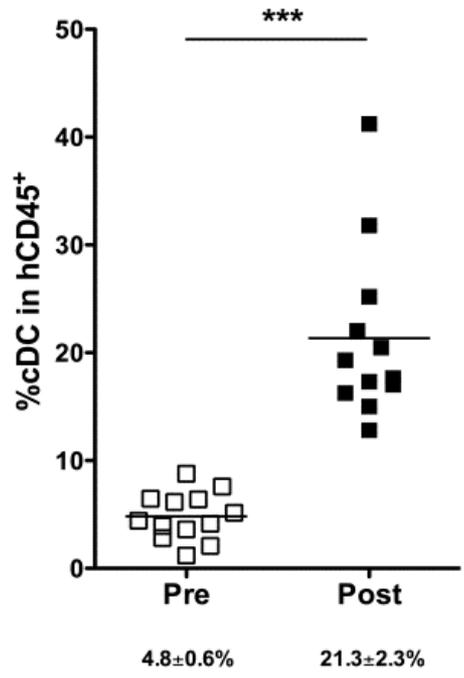


Tilting the balance towards higher density of human DC in HIS mice

- ✓ the cytokine FLT3L/FLK2L is crucial for steady-state dendritic cell development;
- ✓ mouse and human FLT3L are highly homologous (cross-reactive);
- ✓ FLK2<sup>-/-</sup> mice show reduced numbers of mouse DC;
- ✓ “human DC boost on demand”  
hFLT3L injection into HIS mice increases human DC numbers



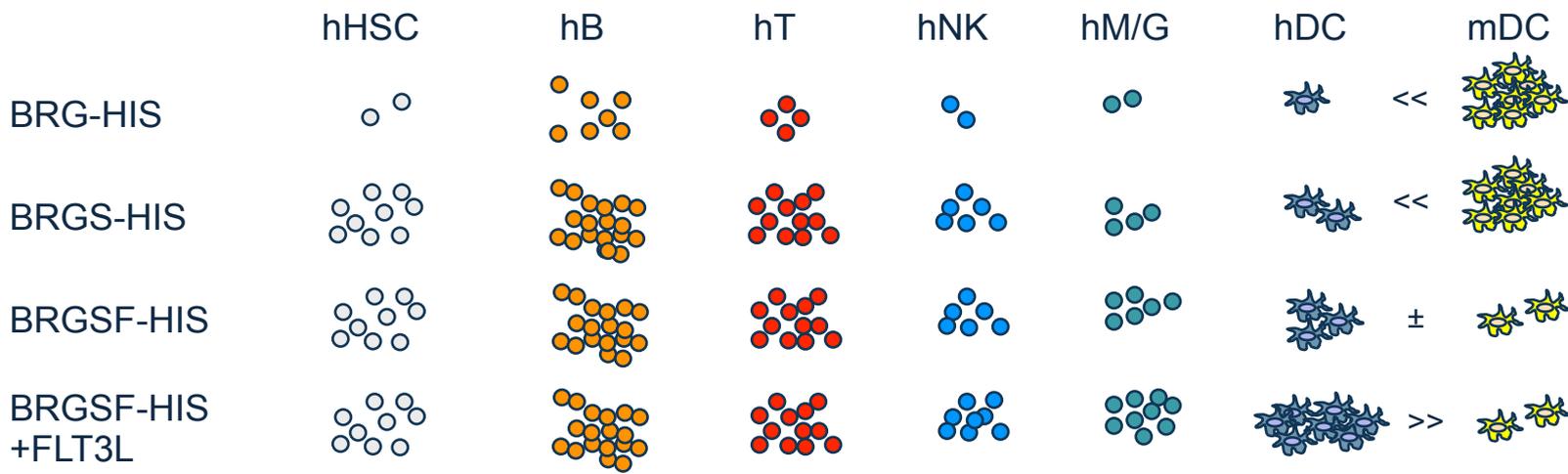
BRGSF mice



BRGSF = BALB/c Rag2<sup>tm1Fwa</sup> Il2rg<sup>tm1Cgn</sup> Sirpa<sup>NOD</sup> Flk2<sup>tm1rl</sup>

Li (2016), *EJL*, 46:1291

# Human leukocyte reconstitution – optimization strategies



## Identification of limiting factors for human leukocyte homeostasis in BRG-HIS mice:

✓ Human cytokine bio-availability:

IL-7 (thymopoiesis;  $\alpha\beta$  T cells)

IL-15/IL-15R $\alpha$  (thymopoiesis; memory  $\alpha\beta$  T4/T8; T  $\gamma\delta$ ; NK)

TPO (hHSC)

IL-3/GM-CSF; M-CSF (myeloid cells)

FLT3-L (cDC; pDC; mono/granulocytes; NK)

✓ Human dendritic cell density ( $\alpha\beta$  T cells)

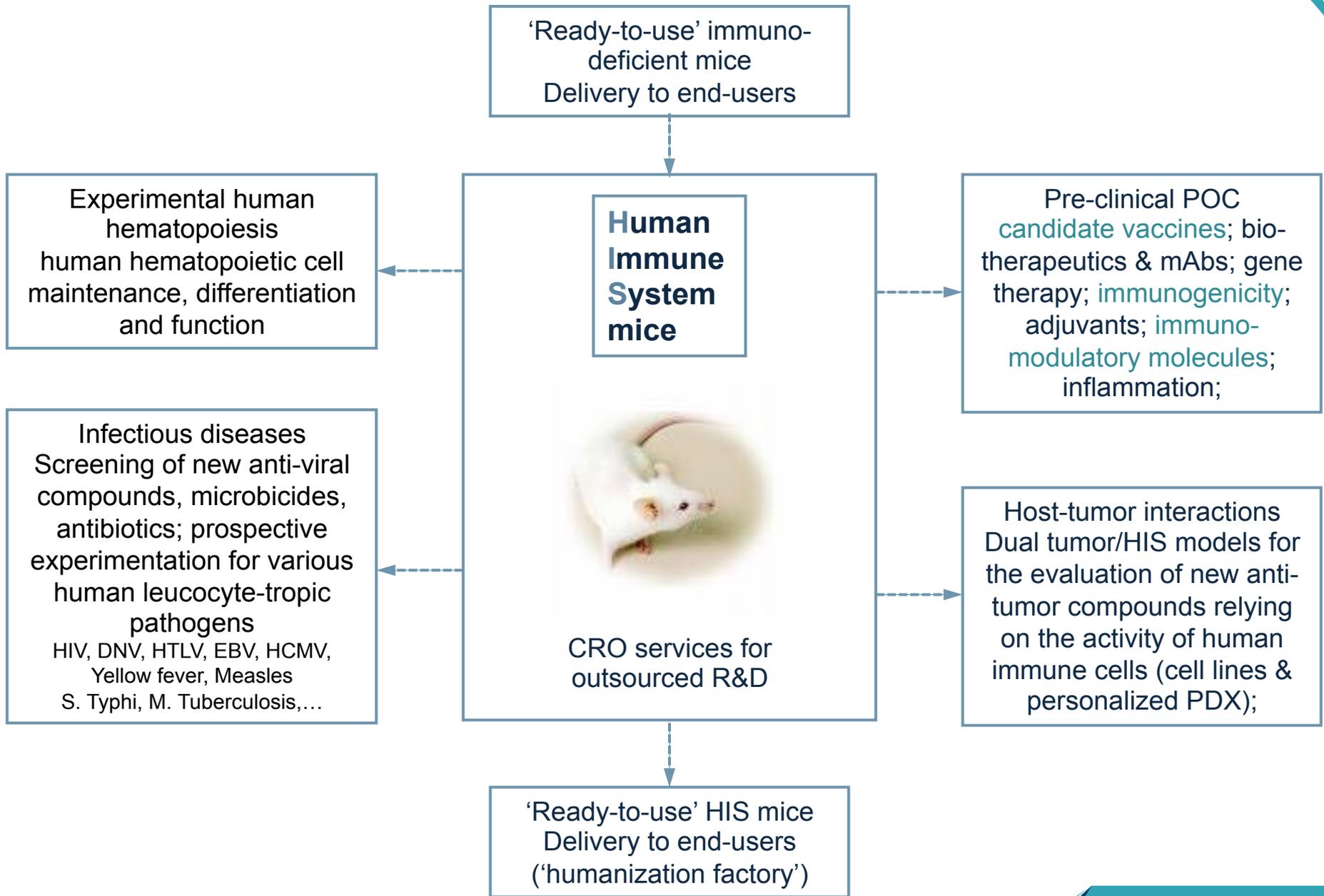
✓ Human MHC expression ( $\alpha\beta$  T cells)

✓ Compatible CD47/SIRP $\alpha$  signaling axis (mouse phagocyte tolerance)

all human cell subsets benefit from this single modification

Legrand (2009), *Cell Host & Microbe*, 6:5  
 Huntington (2009) *JEM*, 206:25  
 van Lent (2009), *J. Immunol.*, 183:7645  
 Chen (2009), *PNAS*, 106:21783  
 Strowig (2009), *JEM*, 206:1423  
 Shultz (2010), *PNAS*, 107:13022  
 O'Connell (2010), *PLOS ONE*, 5:e12009  
 Rongvaux (2011), *PNAS*, 108:2378  
 Willinger (2011), *PNAS*, 108:2390  
 Huntington (2011), *PNAS*, 108:6217  
 Legrand (2011), *PNAS*, 108:13224  
 Strowig (2011), *PNAS*, 108:13218  
 Huntington (2011), *EJI*, 41:2883  
 Suzuki (2012), *Int. Immunol.*, 24:243  
 Li (2013), *J. Immunol.*, 191:3192  
 Ding (2014), *J. Immunol.*, 192:1982  
 Li (2016), *Eur. J. Immunol.*, 46:1291

# A flexible tool for research & industrial applications



# Human immune responses in HIS mice

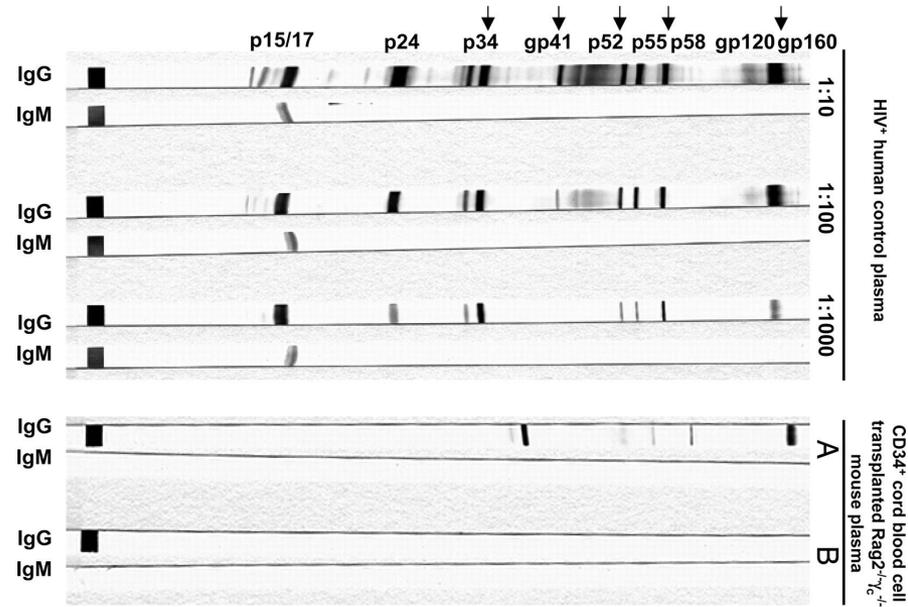
- ✓ commercial TT vaccine, 1/10 of the human dose i.p. per injection (1 immunization + 2 boosts)
- ✓ analysis 10 days after last boost (5-6 wks after onset of vaccination)

	M1	M2	M3	M4	M5	human adults, n=5 mean (range)
age at start of vaccination (weeks)	12	17	17	15	12	-
human CD45 <sup>+</sup> spleen cells (%)	85	12	38	25.5	77	-
human CD19 <sup>+</sup> spleen cells (%)	37	2	9	13	38	-
human CD3 <sup>+</sup> spleen cells (%)	46	8.5	22.3	8.1	6.5	-
Total IgG (µg/ml)	45	500	80	100	15	8600 (7500-10000)
TT specific IgG (µg/ml)	0.1	ND	ND	0.3	0.2	33 (16-75)
TT specific IgG/total IgG	1:450	-	-	1:333	1:75	1:260

Traggiai (2004), *Science*, 304:104

- ✓ weak responses  
~0.2-1% specific IgG levels of vaccinated humans
- ✓ low efficiency of i.p. route  
5-10% responder HIS mice

- ✓ poor anti-HIV B cell response (IgG in 1/25 YU-2/R5 infected animals)



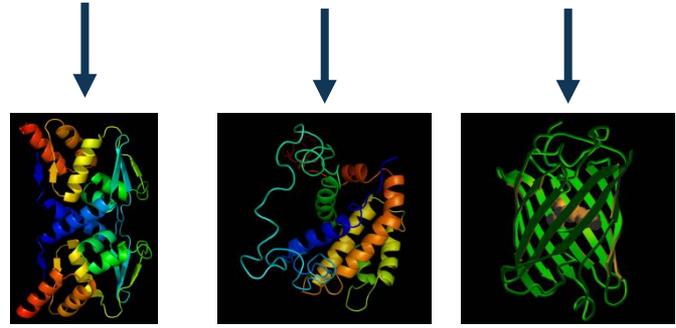
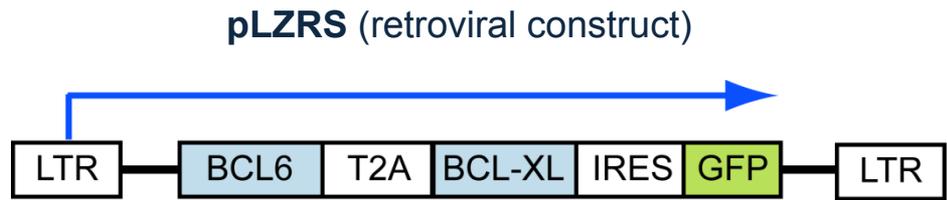
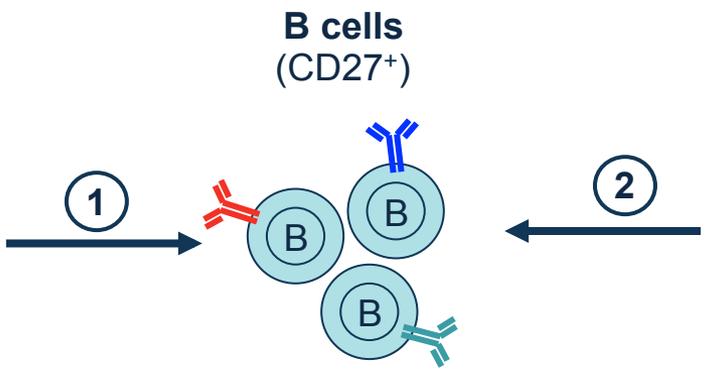
Baenziger (2006), *PNAS*, 103: 15951

- ✓ no/weak anti-HIV T cell response
- ✓ similar results with other model pathogens e.g. measles; influenza; yellow fever

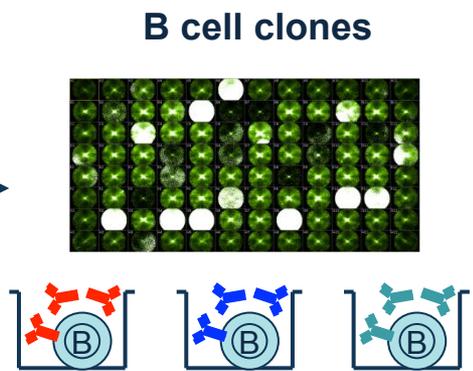
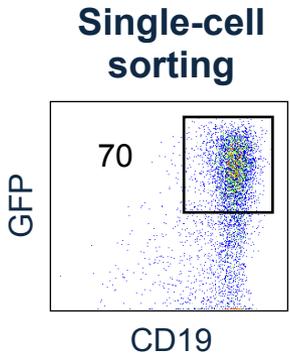
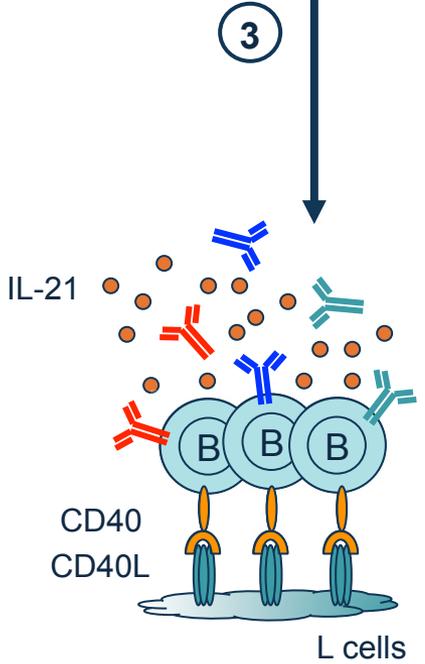
Analysis of B cell responses at clonal level

# From primary B cells to human monoclonal antibodies

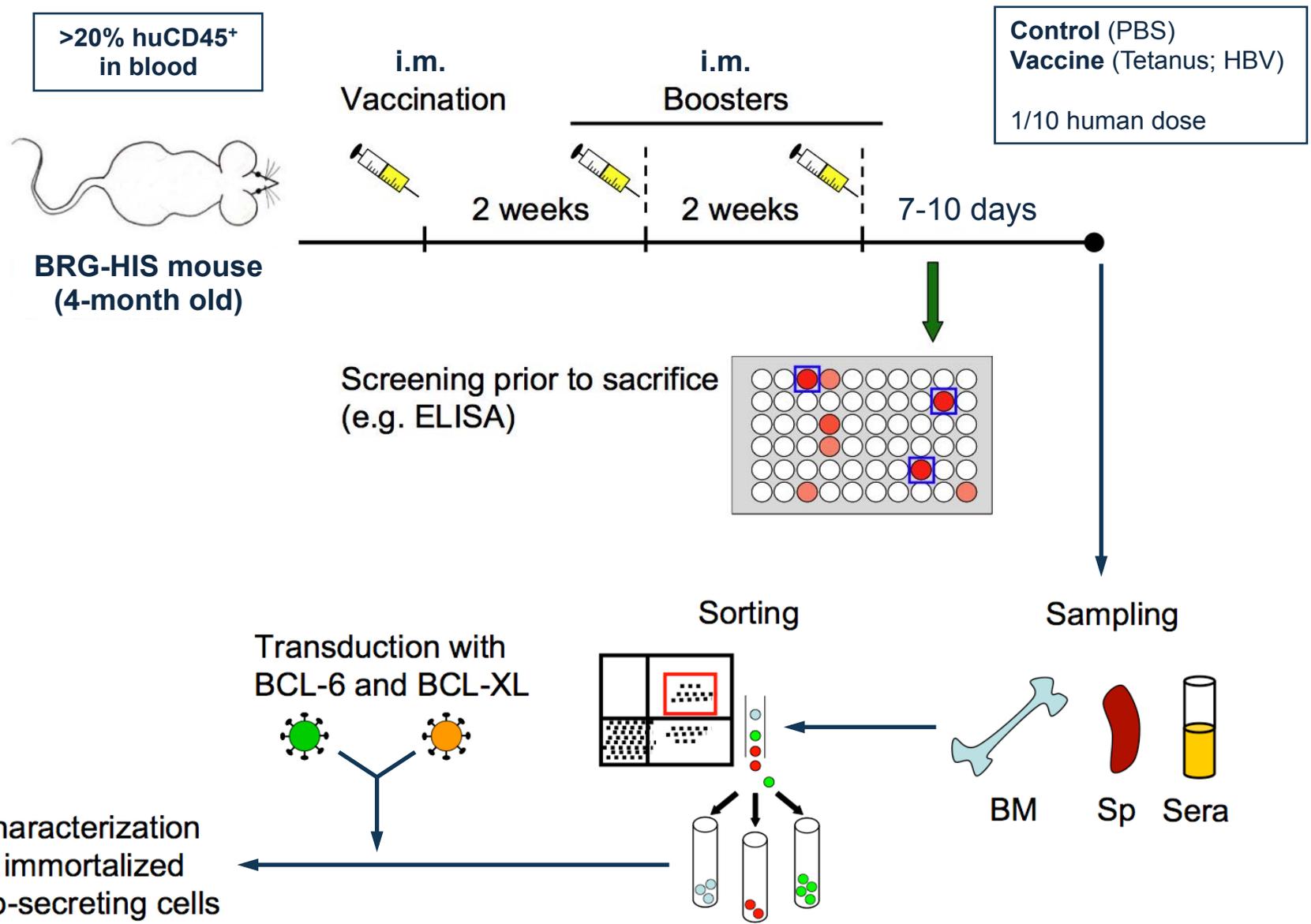
Human B cells



- Differentiation      + Survival      Tracking marker

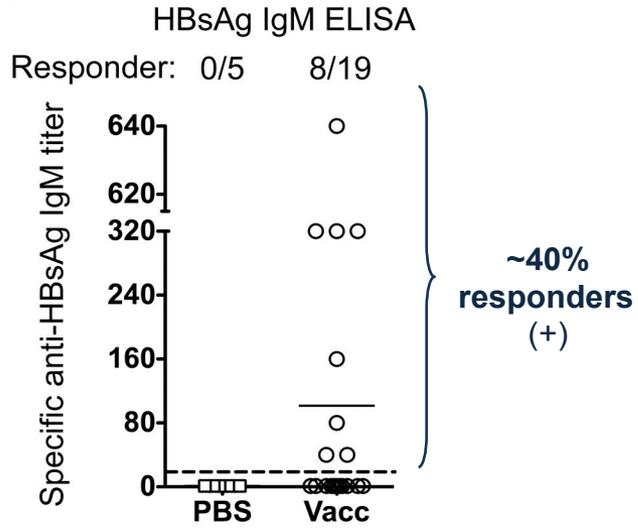


# Vaccination scheme

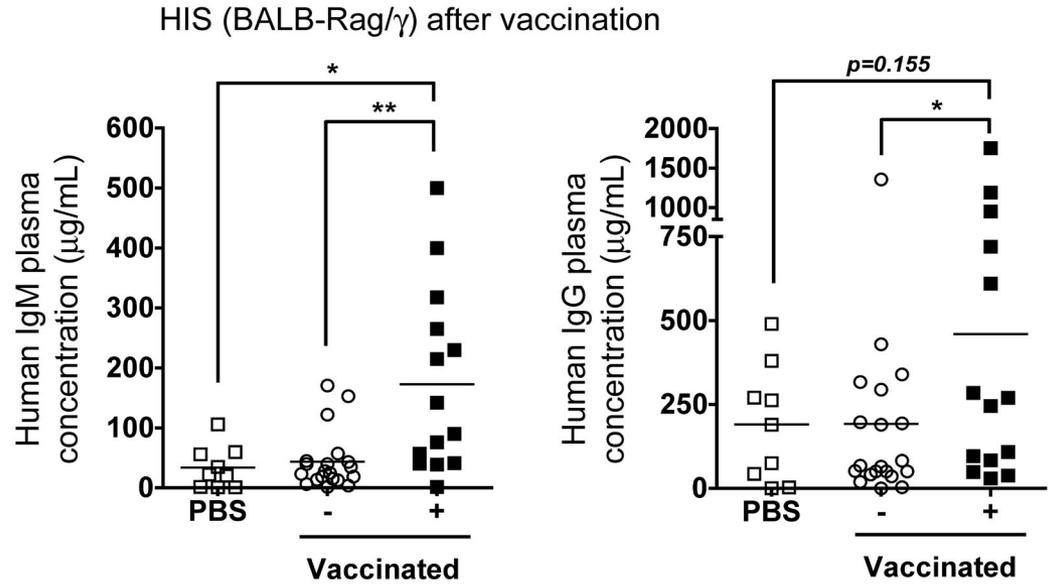


# Immunological parameters after vaccination

## Plasma (Ag-specific Ig)



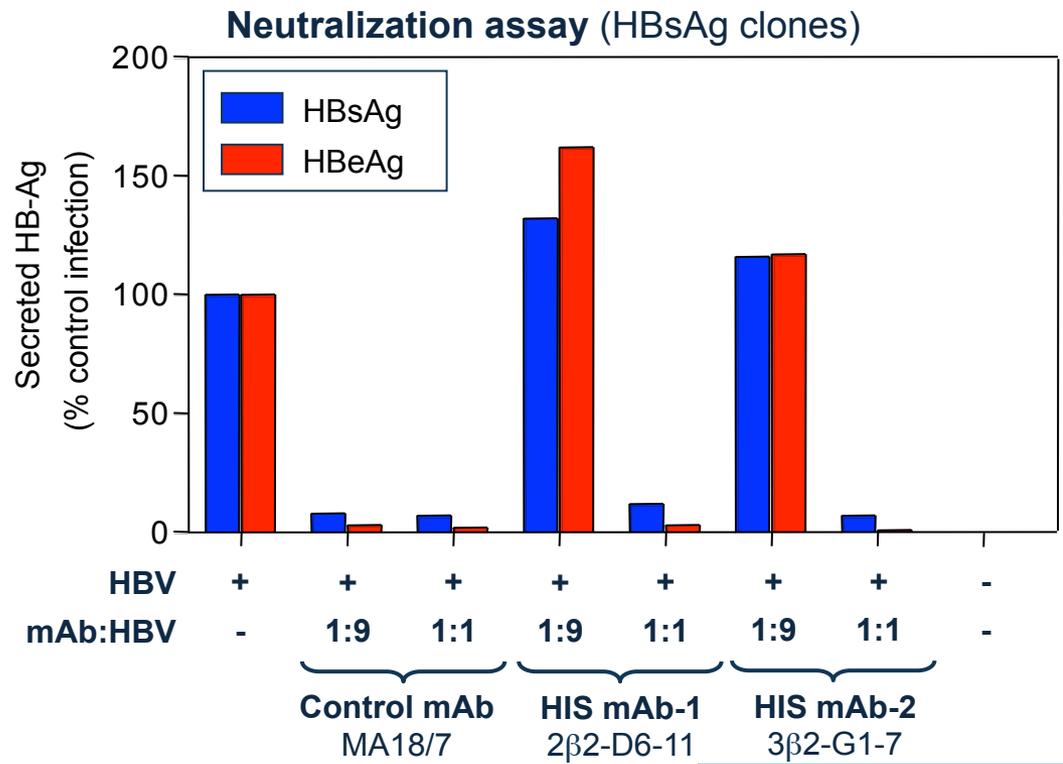
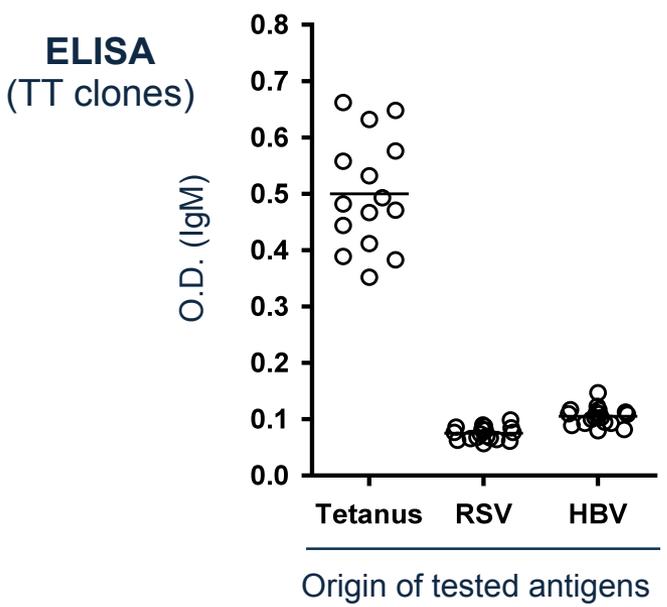
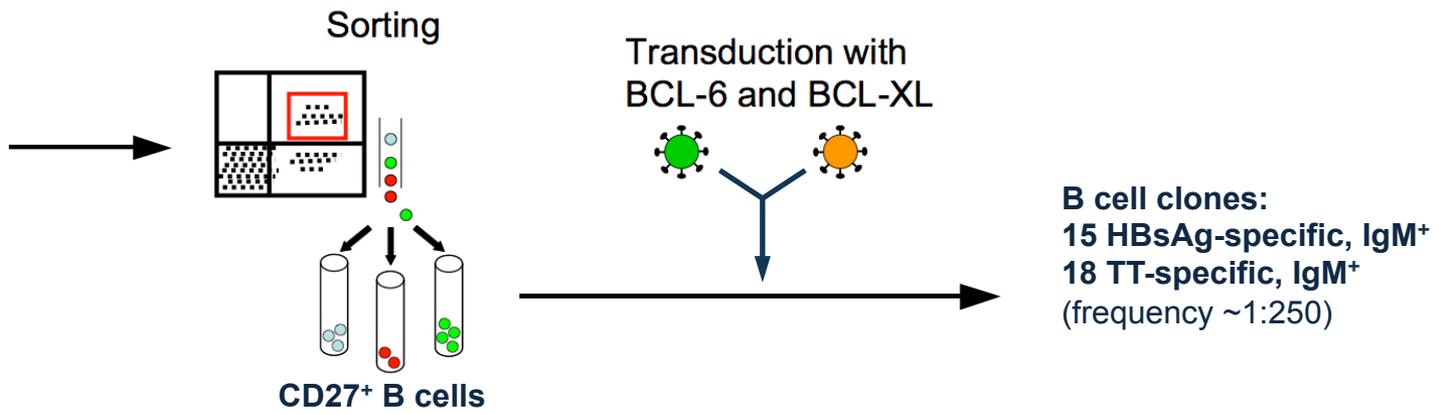
## Plasma (total Ig)



## Spleen

Groups	Human cells (CD45 <sup>+</sup> )		
	Total	B cells (CD19 <sup>+</sup> )	T cells (CD3 <sup>+</sup> )
	Absolute number (×10 <sup>6</sup> )	Absolute number (×10 <sup>6</sup> )	Absolute number (×10 <sup>6</sup> )
Controls (n = 10)	0.72±0.27	0.25±0.16	0.43±0.15
Vaccinated (n = 34)	3.63±0.75 **	2.03±0.46**	1.45±0.41 n.s.
Responders (n = 14)	5.63±1.54 **	3.06±0.96**	2.44±0.87 *
non-responders (n = 20)	2.06±0.45*	1.28±0.35**	0.59±0.20 n.s.

# Antigen-specific B cell clones generated from HIS mice



# All clones show low levels of hypersomatic mutations

## IgM V<sub>H</sub> amino-acid sequence (HBsAg-specific B cell clones)

CLONES	-----FR1-----	---CDR1---	-----FR2-----	---CDR2---	-----FR3-----	-----CDR3-----	---FR4---
<b>VH3-73</b> <b>6β2-D4-3</b>	EVQLVESGGGLVQPGGSLKLSCAAS GGGLVQPGGSLKLSCAAS	GFTFSGSA GFTFSGSA	MHWVRQASGKGLEWVGR MHWVRQASGKGLEWVGR	IRSKANSYAT IRSKANSYAT	AYAASVK GRFTISRDDSKNTAYLQMNSLKTEDTAVYYC AYAASVK GRFTISRDDSKNTAYLQMNSLKTEDTAVYYC	TR T <b>SRKSSSSDY</b>	WGQGLVTVSS WGQGLVTVSS
<b>6β1-E3-10</b>	EVQLVESGGGLVQPGGSLKLSCAAS	GFTFSGSA	MHWVRQASGKGLEW <b>T</b> GR	I <b>S</b> SKANSYAT	AYA <b>A</b> FVK GRFTISRDDSKNTAYLQMNSLKTEDTAVYYC	T <b>SRKSSSSDY</b>	WGQGLVTVSS
<b>3β2-F2-2</b>	EVQLVESGGGLVQPGGSLKLSCAAS	GFTFSGSA	MHWVRQASGKGLEWVGR	IRSK <b>R</b> NSYAT	AYAASVK GRFTISRDDSKNTAYLQMNSLKTEDTAVYYC	TR <b>LSGRGVDY</b>	WGQGLVTVSS
<b>6β2-G11-6</b>	EVQLVESGGGLVQPGGSLKLSCAAS	GFTFSGSA	MHWVRQASGKGLEWVGR	IRSKANS <b>V</b> YAT	AYAASVK GRFTISRDDSKNTAYLQMNSLKTEDTAV <b>V</b> YC	TR <b>KSSSSDY</b>	WGQGLVTVSS
<b>5β2-D5-5</b> <b>γ5-8</b>	EVQLVESGGGLVQPGGSLKLSCAAS EVQLVESGGGLVQPGGSLKLSCAAS	GFTFSGSA GFTFSGSA	MHWVRQASGKGLEWVGR MHWVRQASGKGLEWVGR	IRSKANSYAT IRSKANSYAT	AYAASVK GRFTISRDDSKNTAYLQMNSLKTEDTAVYYC AYAASVK GRFTISRDDSKNTAYLQMNSLKTEDTAVYYC	TR <b>TYSSSWYFDY</b> TR <b>TYSSSWYFDY</b>	WGQGLVTVSS WGQGLVTVSS
<b>γ6-7</b> <b>5β1-E3-5</b>	EVQLVESGGGLVQPGGSLKLSCAAS EVQLVESGGGLVQPGGSLKLSCAAS	GFTFSGSA GFTFSGSA	MHWVRQASGKGLEWVGR MHWVRQASGKGLEWVGR	IRSKANSYAT IRSKANSYAT	AYAASVK GRFTISRDDSKNTAYLQMNSLKTEDTAVYYC AYAASVK GRFTISRDDSKNTAYLQMNSLKTEDTAVYYC	TR <b>RGYYGSGSYGDI</b> TR <b>RGYYGSGSYGDI</b>	WGQGLVTVSS WGQGLVTVSS
<b>VH4-34</b> <b>2β2-D6-11</b>	QVQLQQWGAGLLKPSETLSLTCAVY QVQLQQWGAGLLKPSETLSLTCAVY	GGSFSGYY GGSF <b>S</b> YY	WSWIRQPPGKGLEWIGE WSWIRQPPGKGLEWIG <b>R</b>	INHSGST INHSGST	NYNPSLK SRVTISVDTSKNQFSLKLSVTAADTAVYYC NYNPSLK SRVTISVDTSKNQFSLKLSVTAADTAVYYC	AR AR <b>GFIY</b>	WGQGLVTVSS WGQGLVTVSS
<b>VH3-30</b> <b>3β2-G1-7</b>	QVQLVESGGGVVQPGRSLRLSCAAS QVQLVESGGGVVQPGRSLRLSCAAS	GFTFSSYG GFTFSSYG	MHWVRQAPGKGLEWVAV MHWVRQAPGKGLEWVAV	ISYDGSNK ISYDGSNK	YYADSVK GRFTISRDNKNTLYLQMNSLRAEDTAVYYC YYADSVK GRFTISRDNKNTLYLQMNSLRAEDTAVYYC	AR AR <b>KAVVDRARDGYNLGY</b>	WGQGLVTVSS WGQGLVTVSS
<b>γ6-14</b>	PGRSLRLSCAAS	GFTF <b>S</b> TYA	MHWVRQAPGKGLEWVAV	ISYDGSNK	YYADSVK GRFTISRDNKNTLYLQMNSLRAEDTAVYYC	AR <b>GTYGSGIGFDY</b>	WGQGLVTVSS
<b>VH3-15</b> <b>2β2-H8-2</b> <b>γ2-15</b>	EVQLVESGGGLVKPGGSLRLSCAAS EVQLVESGGGLVKPGGSLRLSCAAS GSLRLSCAAS	GFTF <b>S</b> NAW GFTF <b>S</b> NAW GFTF <b>S</b> NAW	MSWVRQAPGKGLEWVGR MSWVRQAPGKGLEWVGR MSWVRQAPGKGLEWVGR	IKSKTDGGTT IKSKTDGGTT IKSKTDGGTT	DYAAPVK GRFTISRDDSKNTLYLQMNSLKTEDTAVYYC DYAAPVK GRFTISRDDSKNTLYLQMNSLKTEDTAVYYC DYAAPVK GRFTISRDDSKNTLYLQMNSLKTEDTAVYYC	TT LIN <b>WGIRD</b> LIN <b>WGIRD</b>	WGQGLVTVSS WGQGLVTVSS WGQGLVTVSS
<b>VH5-51</b> <b>γ1-4</b>	EVQLVQSGAEVKKPGESLKISCKGS EVQLVQSGAEVKKPGESLKISCKGS	GYSFTSYW GYSFT <b>T</b> YW	IGWVRQMPGKGLEWMGI IGWVRQMPGKGLEWMGI	IYPGSDT IYPGSDT	RYSPSFQ GQVTISADKSISTAYLQWSSLKASDTAMYYC RYSPSFQ GQVTISADKSISTAYLQWSSLKASDTAMYYC	AR AR <b>HSEYYDSSGGYYLDY</b>	WGQGLVTVSS WGQGLVTVSS
<b>VH5-A</b> <b>γ6-21</b>	EVQLVQSGAEVKKPGESLRISCKGS EVQLVQSGAEVKKPGESLRISCK <b>S</b>	GYSFTSYW GYSFTSYW	ISWVRQMPGKGLEWMGR ISWVRQMPGKGLEWMGR	IDPDSYT IDPDSYT	NYSPSFQ GHVTISADKSISTAYLQWSSLKASDTAMYYC <b>X</b> YSP <b>S</b> FQ GHV <b>T</b> ISADKSISTAYLQWSSLKASDTAMYYC	AR AR <b>HLREAVADFPMDV</b>	WGQGLVTVSS WG

# Human immune responses in HIS mice

## Human B cell responses are induced in HIS mice upon vaccination

- ✓ Human B cell responses are induced by various vaccines (OVA; KLH; TT; HBV; Flu)
- ✓ Immunization per i.m. route is more efficient than i.p. route
- ✓ Human antigen-specific B cells can be isolated and cloned from vaccinated HIS mice
- ✓ Optimized HIS mouse models with improved human cell homeostasis & function permit isolation of large numbers of antigen-specific B cell clones (including IgG<sup>+</sup>)  
e.g. in humanized BRGS mice
- ✓ The generated mAbs exhibit features of low affinity, close-to-germline antibodies (limited germinal center reaction; limited Ig switch; limited affinity maturation process)

## Optimizing immunization strategies

- ✓ Vaccination design  
(protocols, timing, formulation, adjuvants)
- ✓ Incremental, step-wise optimization of new recipient mouse strains for improved xenograft features  
(human cell content and function)

- ✓ Use of exogenous agonists of immune cells during immunization

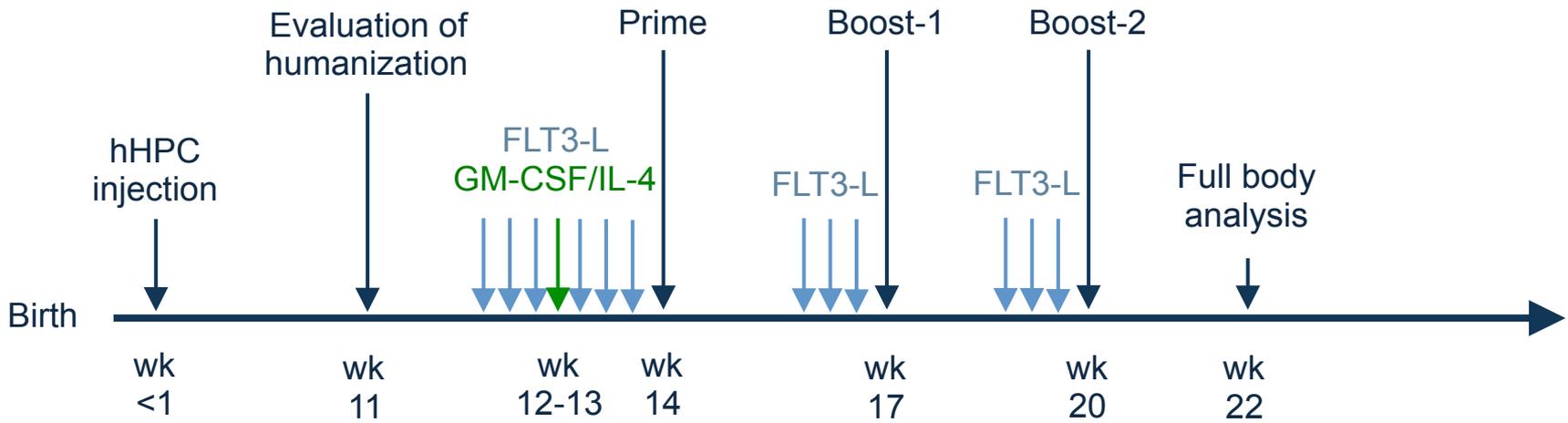
# Vaccination in hDC-booster BRGSF-HIS mice



- ✓ Human antigen-presenting cells might represent a functional bottleneck – human DC boosting strategy?
- ✓ Model antigen: Keyhole Limpet Hemocyanin (~3400 a.a.; ~390kDa) KLH (K) vs. KLH/Alum (K/A)
- ✓ Exogenous agonists of immune cells before immunizations: soluble FLT3-L/Fc + hydrodynamic delivery of hGM-CSF/hIL-4 encoding DNA plasmids
- ✓ Standardized vaccination design

FLT3-L more hDC  
GM-CSF/IL-4 more mature hDC

Di Santo & Mention (2010), patent  
Chen (2012), *J. Immunol.*, 189:5223  
Ding (2014), *J. Immunol.*, 192:1982  
Li (2016), *Eur. J. Immunol.*, 46:1291

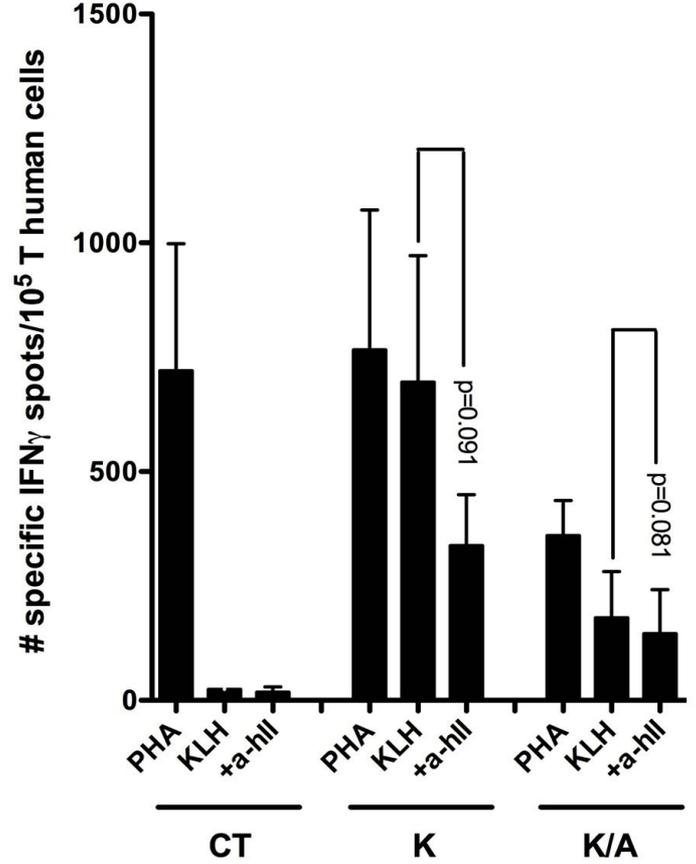
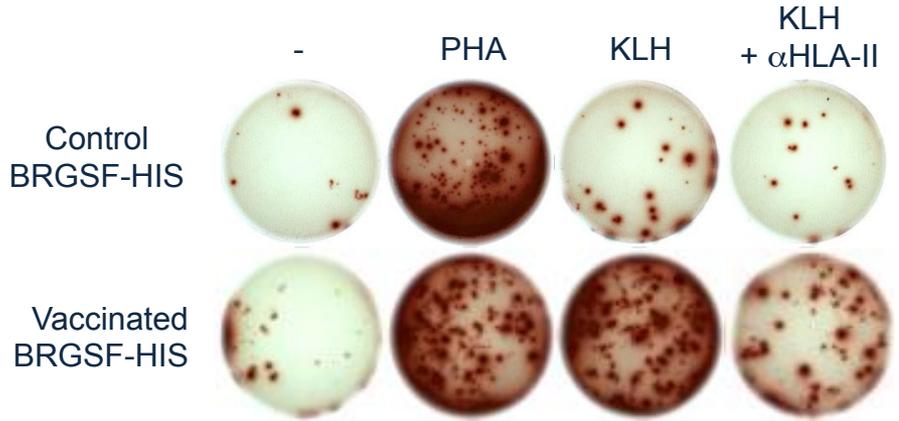




# Human T cell responses to KLH

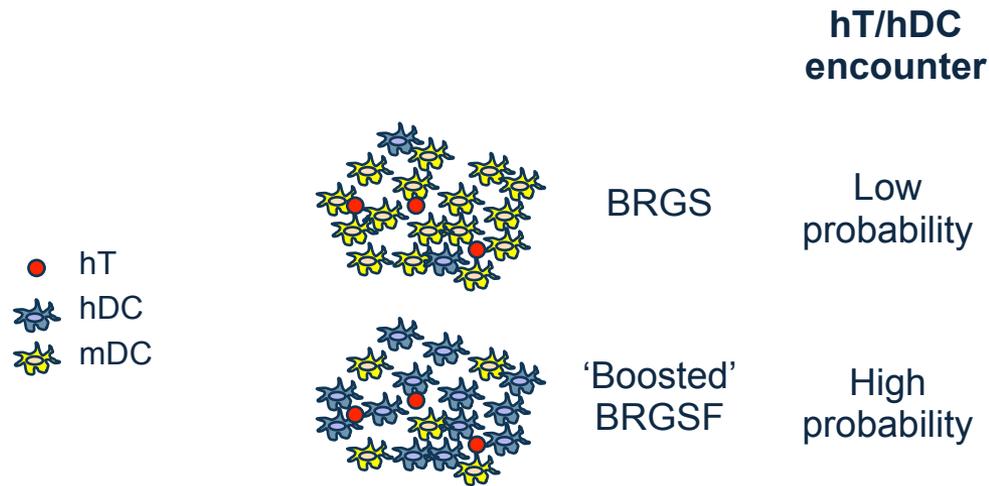
- ✓ Human T cell responses  
67% (14/21) of the animals show a T cell response to KLH  
vs. no detectable T cell responses without immuno-stimulation
- ✓ MHC restriction of T cell responses  
57% (8/14) of the responder animals show partial HLA class-II restriction (human DC biased?)  
HLA-class-I and mouse MHC restricted responses possible as well

**ELISPOT IFN $\gamma$**   
(spleen)



# An unprecedented level of human immune responses

Enhancement of human dendritic cell density and maturation status has major functional benefits on human immune responses in HIS mice



- ✓ Screening of candidate vaccines
- ✓ Validation of immuno-modulatory compounds
- ✓ Evaluation of new adjuvants
- ✓ Evaluation of compound immunogenicity
- ✓ Model limitations should be kept in mind...

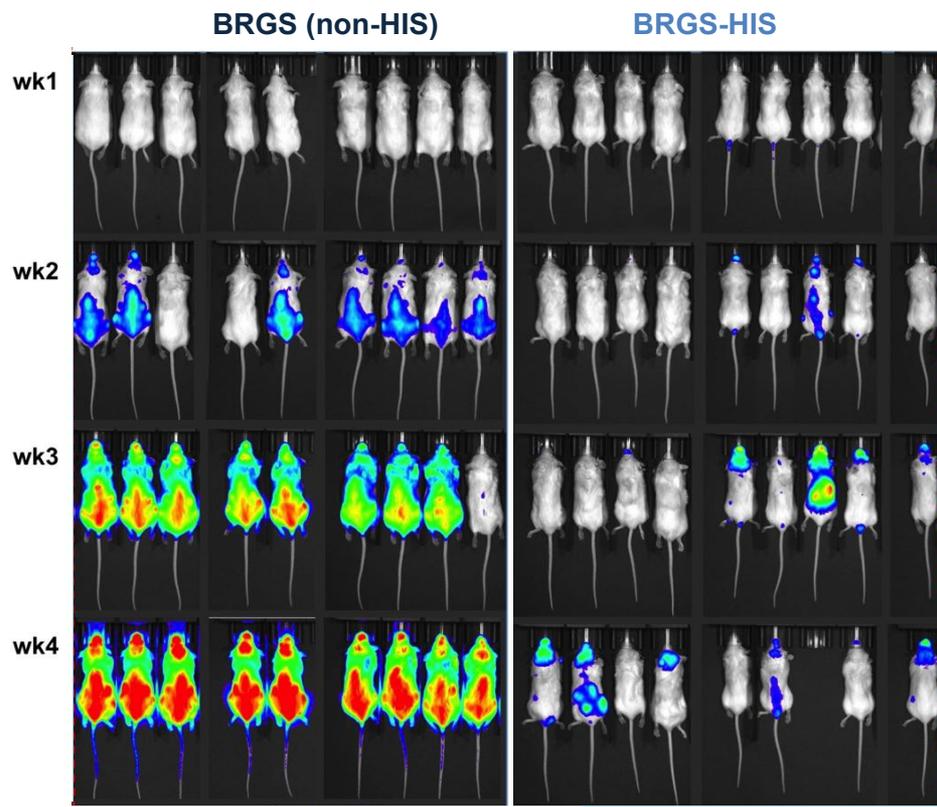
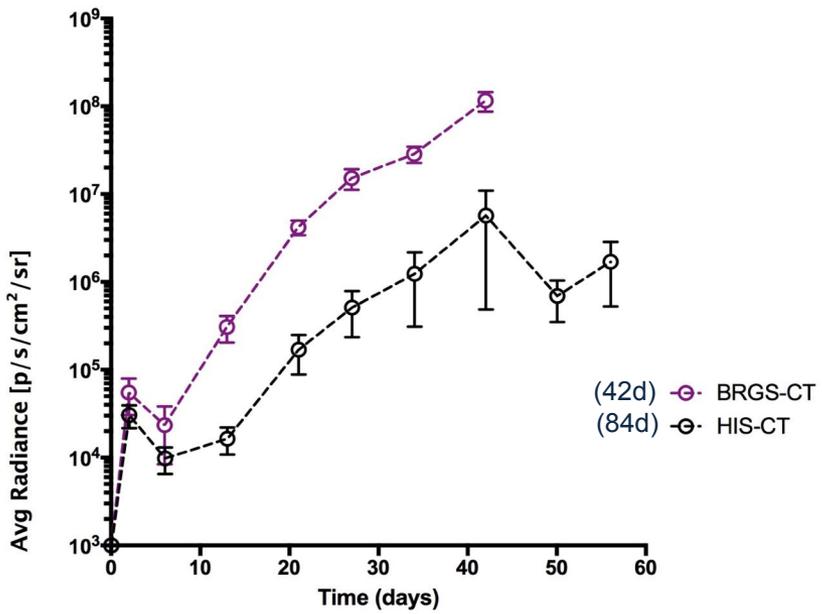
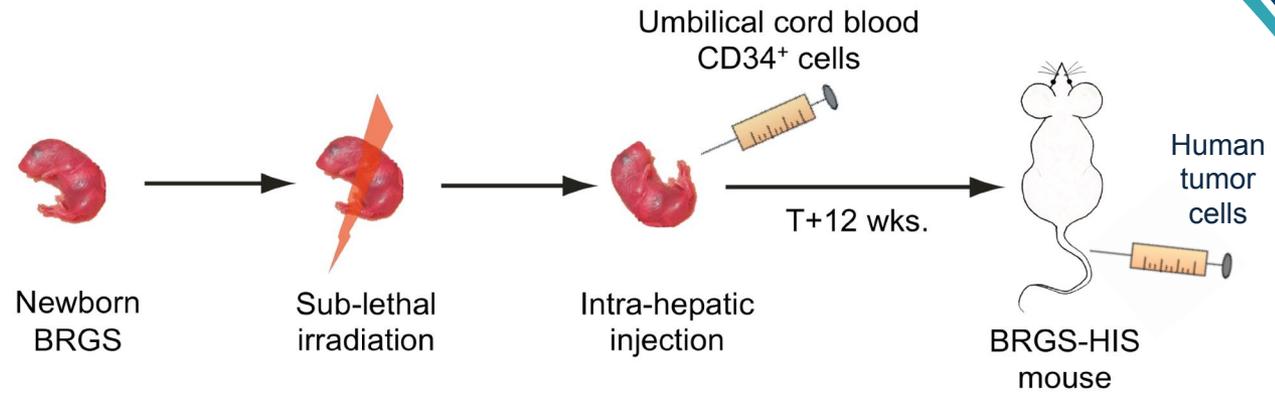
# Human immune responses to tumor cells in HIS mice

- ✓ **'Double-humanized' mice**
  - Human immune system
  - Human tumor xenograft

- ✓ **Daudi-luc<sup>+</sup> cell model**  
B cell leukemia (BCL) [i.v.]

- ✓ Tumor cell outgrowth  
Tumor cells can be tracked by bioluminescence

- ✓ Human immune cells limit tumor outgrowth



# BCL/HIS mice for bispecific antibody POC study

✓ **Evaluation of treatment anti-tumor efficacy**

14-weeks old BRGS-HIS mice  
 5x10<sup>6</sup> Daudi-Luc<sup>+</sup> cells i.v.

Comparison of 3 Bs-mAbs  
 2 injections (d3/7; 1mg/kg i.v.)

CT: PBS vehicle control

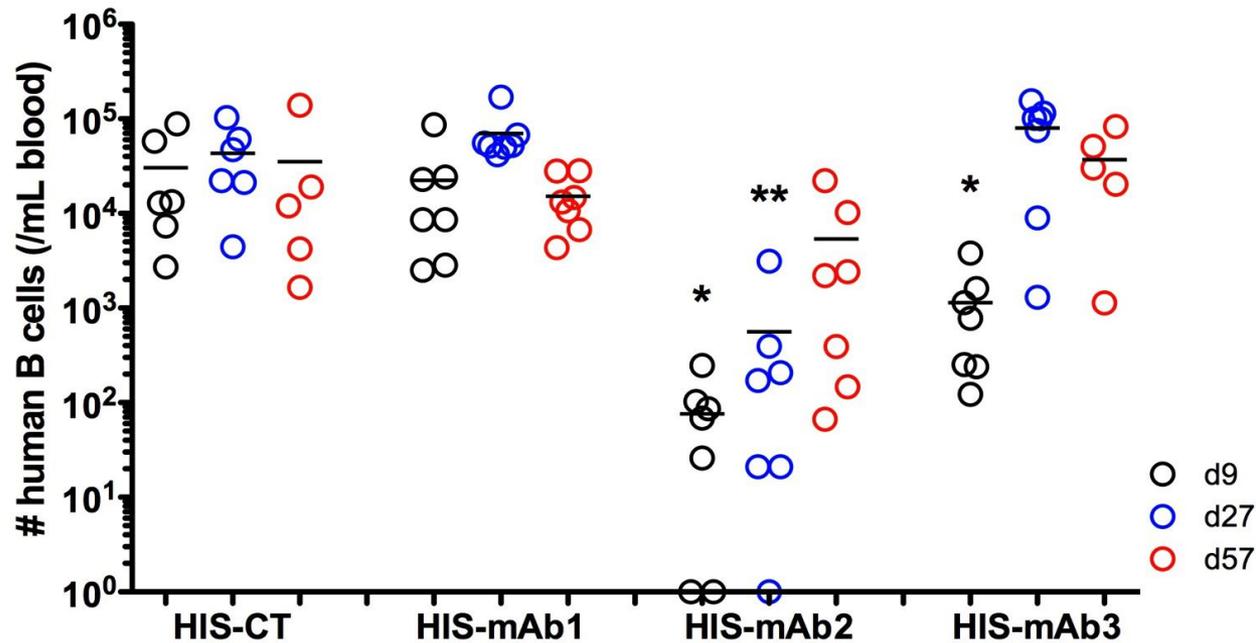
mAb1: human T cell targeting only (monovalent)

mAb2: human T cell + human B cell targeting (bispecific)

mAb3: human B cell targeting only (monovalent)

**Human B cell depletion in blood**

\* p<0.05; \*\* p<0.01 (compared to HIS-CT)



✓ Transient B cell depletion activity is observed with the 2 B cell-targeting test products (mAb2 & mAb3)



# BCL/HIS mice for bispecific antibody POC study

## ✓ Evaluation of treatment anti-tumor efficacy

14-weeks old BRGS-HIS mice  
5x10<sup>6</sup> Daudi-Luc<sup>+</sup> cells i.v.

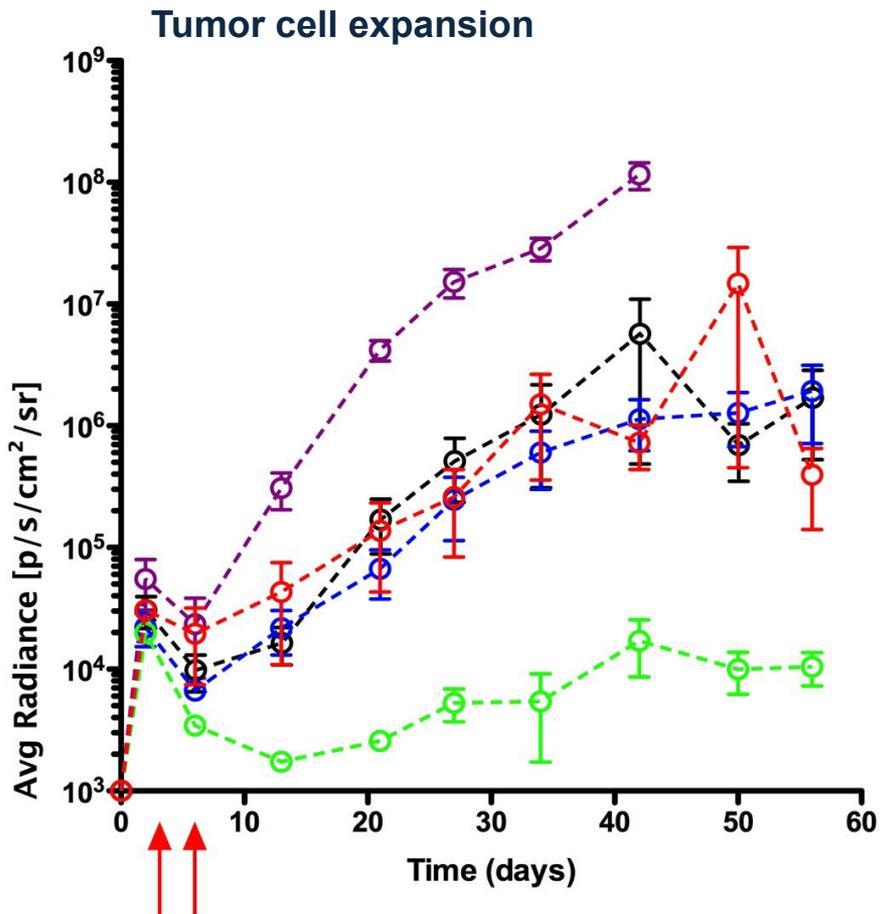
Comparison of 3 Bs-mAbs  
2 injections (d3/7; 1mg/kg i.v.)

CT: PBS vehicle control

mAb1: human T cell targeting only (monovalent)

mAb2: human T cell + human B cell targeting (bispecific)

mAb3: human B cell targeting only (monovalent)



✓ Monovalent B cell targeting does not efficiently control tumor outgrowth (mAb3)

✓ Tumor control is only achieved when human T cells are recruited to the tumor B-cells by the bispecific antibody (mAb2)



# Conclusions

## Human immune responses in HIS mice

- ✓ Human dendritic cells (number & maturation status) represent a limitation for the consistent generation of human antigen-specific immune responses in HIS mice
- ✓ Human B cell responses are induced upon vaccination, but remain weak/rare without immuno-stimulation
- ✓ Human antigen-specific B cells can be isolated and cloned from vaccinated HIS mice, but the generated mAbs exhibit features of low affinity, close-to-germline antibodies
- ✓ Human T cell responses are observed in a large majority of human DC-boosted animals
- ✓ A major field bottleneck has been removed with such an immuno-stimulatory approach
- ✓ Human immune cells efficiently respond to tumors (allogeneic tissues) and efficiently respond to anti-tumor, mAb-induced effector cell mobilization

## Major model improvements over the past 30 years... and still ongoing

- ✓ Standardized HIS mouse production
- ✓ Optimized immuno-deficient mouse models with combined genetic/cellular humanization strategies
- ✓ Addressing the specific requirements/limitations of each application field  
e.g. for immunogenicity assessment: expected frequency of response vs. batch size? Immune tolerance for tested products? Genetic variability of human HSC donors? Optimization of vaccination strategies?

# “Human Immune System” mouse models for preclinical risk assessment

Nicolas LEGRAND, Ph.D.

Director – Immunology

European Immunogenicity Platform  
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