

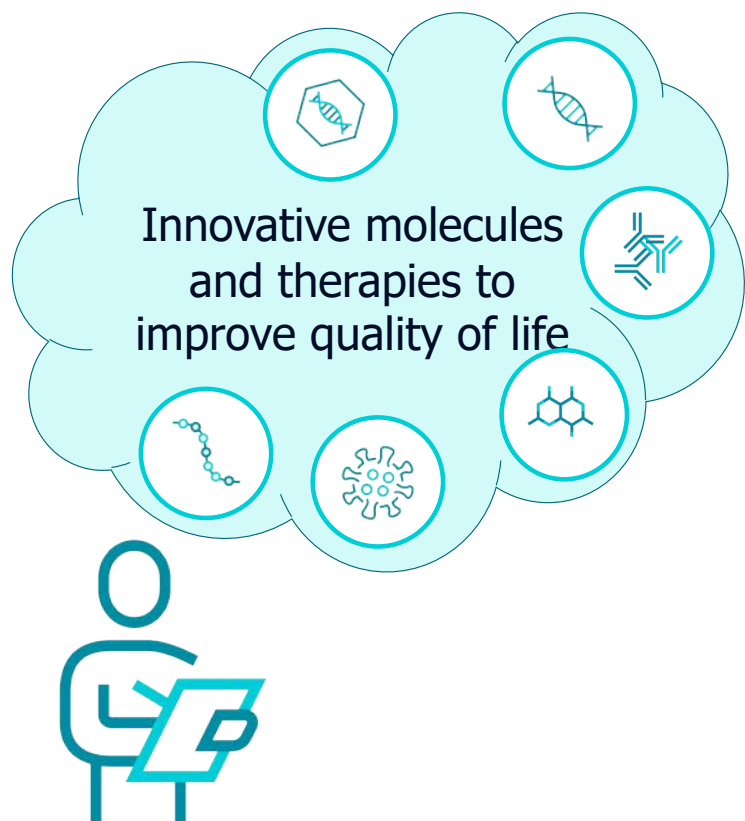
# Particle analysis in biopharmaceuticals

An overview from protein particles to living cells and relevance for immunogenicity

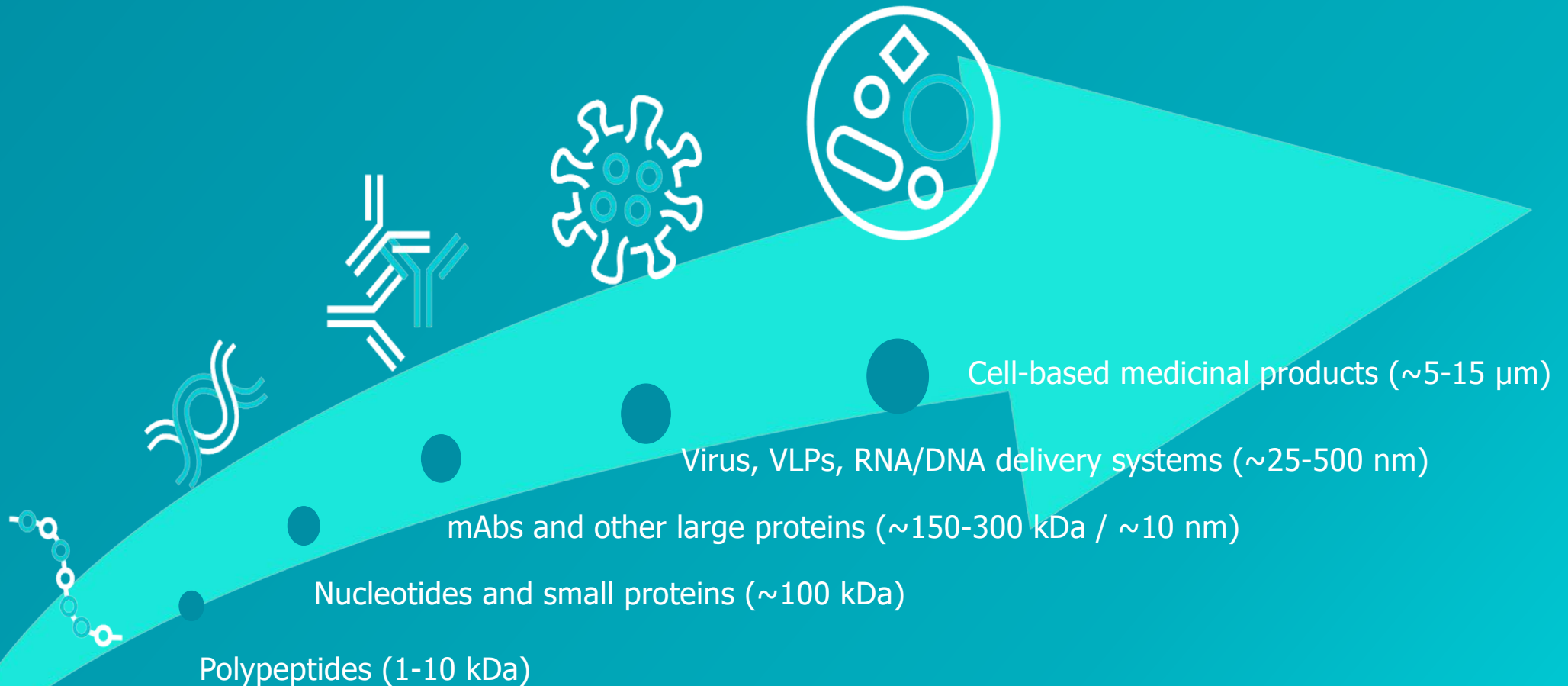
Prepared by: Dr. Andrea Hawe

Date: 26 April 2022

# Why are we here?



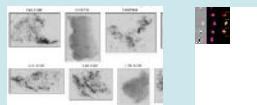
# Biopharmaceuticals & ATMPs



# Particles in biopharmaceuticals and ATMPs

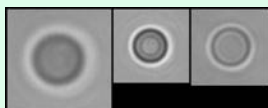
## Therapeutic protein aggregates and particles

- 10-100 nm: Protein oligomers
- 0.1-1  $\mu\text{m}$ : Submicron particles/ nanometer aggregates
- 1-100  $\mu\text{m}$ : Subvisible particles/ micrometer aggregates
- $>\sim 100 \mu\text{m}$ : Visible particles



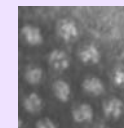
## Particulate impurities, e.g.

- Cellulose, hair (foreign)
- Metal fragments, filter fibers (process-related)
- Silicone oil, glass, rubber (packaging-related)
- Fatty acid particles (excipient-related)
- Nanoparticulate impurities (NPIs) in sugars
- ...



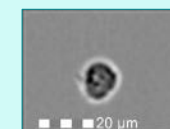
## Particulate delivery systems, e.g.

- Viral vectors
- Virus-like particles (VLP)
- Lipid nanoparticles (LNP)
- Lipoplexes
- Polymer-based nano- & microparticles
- ...



## Cell-based medicinal products, e.g.

- Stem cells
- Chimeric antigen receptor T cells (CAR-T)
- ...





# Scope of particle analysis

- Characterization of **impurities** in biopharmaceuticals and ATMPs
  - Impurities: can be from the “active” itself, excipients, primary packaging material, process-related, etc.
  - Quantification of particles
  - Classification and identification of particles
- Characterization of **the “active” itself**
  - Virus or viral vectors
  - Drug delivery systems, e.g. LNPs, polyplexes, liposomes...
  - Cells

# Elements of particle analysis

## Particle sizing

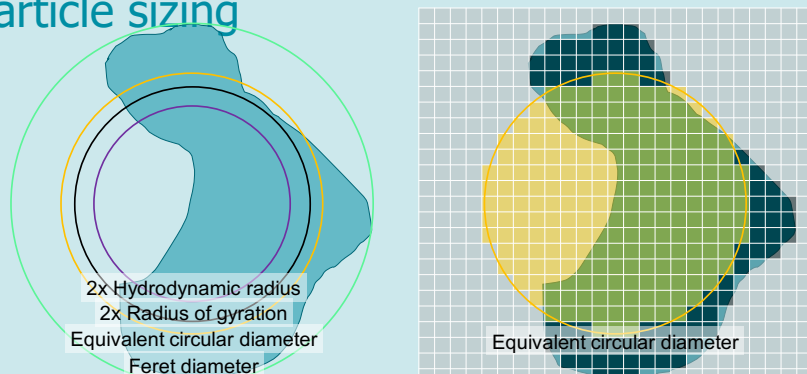
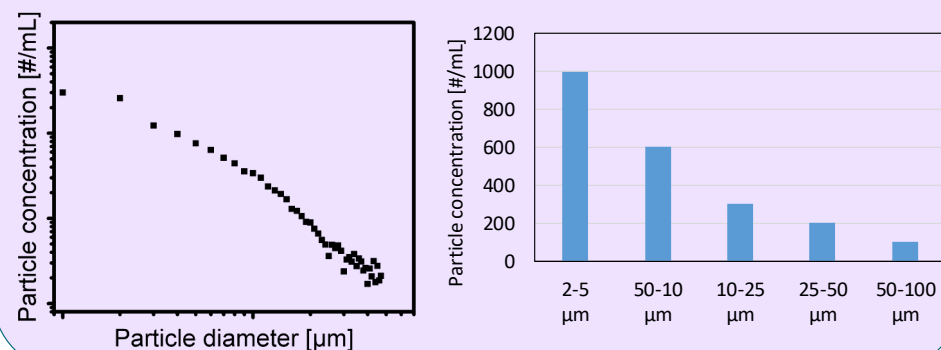
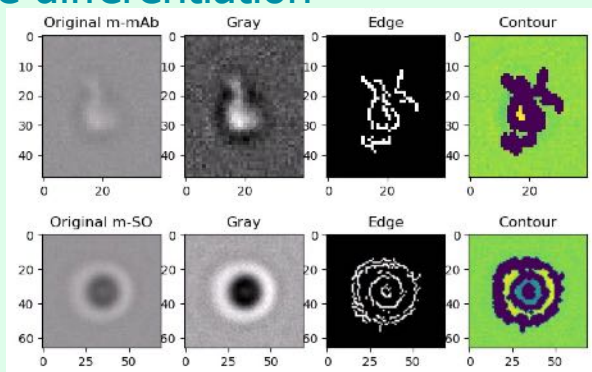


Fig. \*

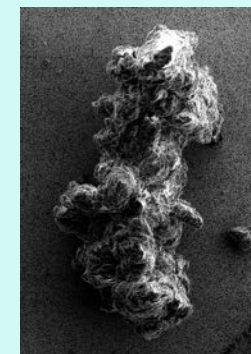
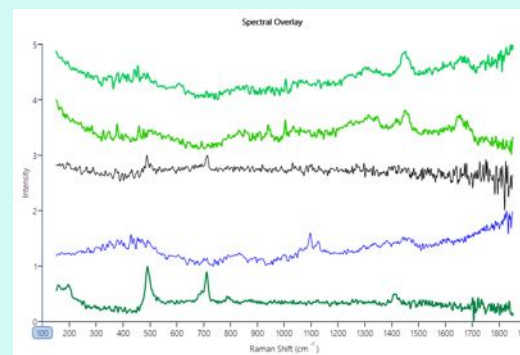
## Particle counting



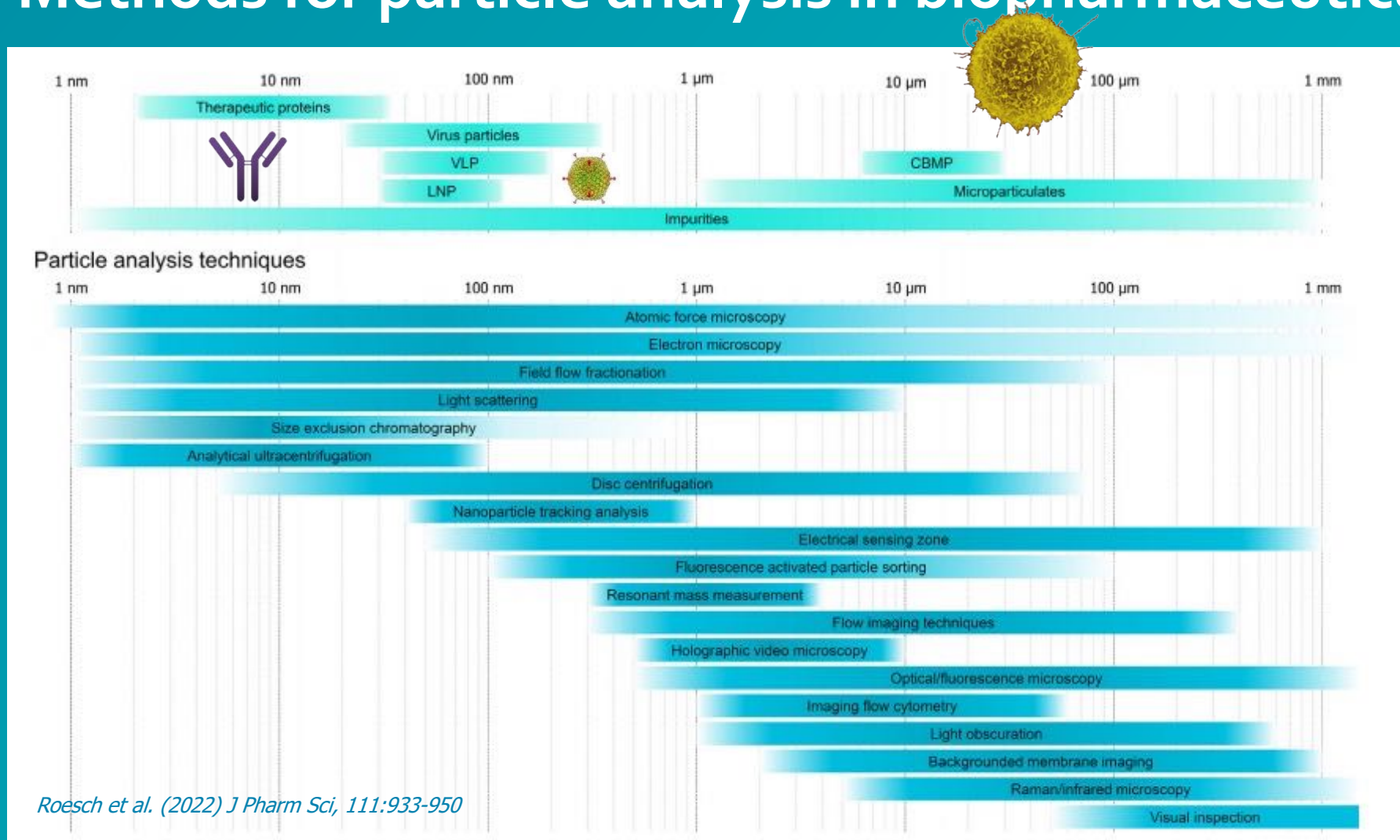
## Particle differentiation



## Particle identification



# Methods for particle analysis in biopharmaceuticals



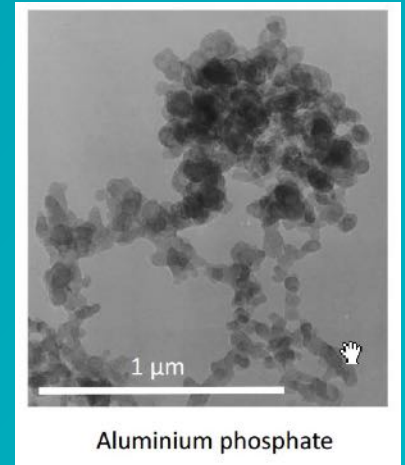
# Vaccines are based on particles

Vaccine category	Examples	Particle size category
mRNA – LNP vaccines	Covid-19 vaccines	Submicron: ~ 60-100 nm
Viral vector-based vaccines	Covid-19 vaccines	Submicron: ~ 70-100 nm
Virus like particles	Hepatitis B	Submicron: < 100 nm
Split and subunit vaccines	Influenza	Submicron: ~ 300 nm
Inactivated viruses	Inactivated influenza vaccine Inactivated polio vaccine	Submicron: < 100 nm Submicron: ~ 200 nm
Alum-adsorbed antigens MF59 adjuvanted antigens	Diphtheria, tetanus Influenza	Micron: low $\mu\text{m}$ size range Submicron: ~ 200 nm
Live bacterial	Salmonella	Micron: ~ 1 $\mu\text{m}$
Inactivated bacteria	Whole cell pertussis	Micron: ~1 $\mu\text{m}$

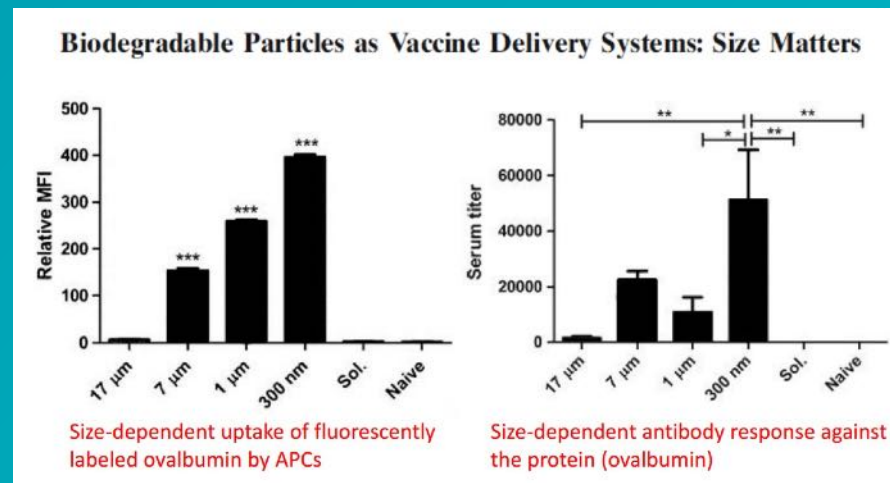


# Vaccines are based on particles

- Submicron-size particles (and small micron-size particles) are more efficiently taken up by antigen-presenting cells (APCs) than soluble protein
- Uptake by APCs is a first essential step towards an immune response
- Immune response depends on various particle properties:
  - \* Composition
  - \* Shape
  - \* Surface characteristics
  - \* Rigidity
  - \* Size
  - ..



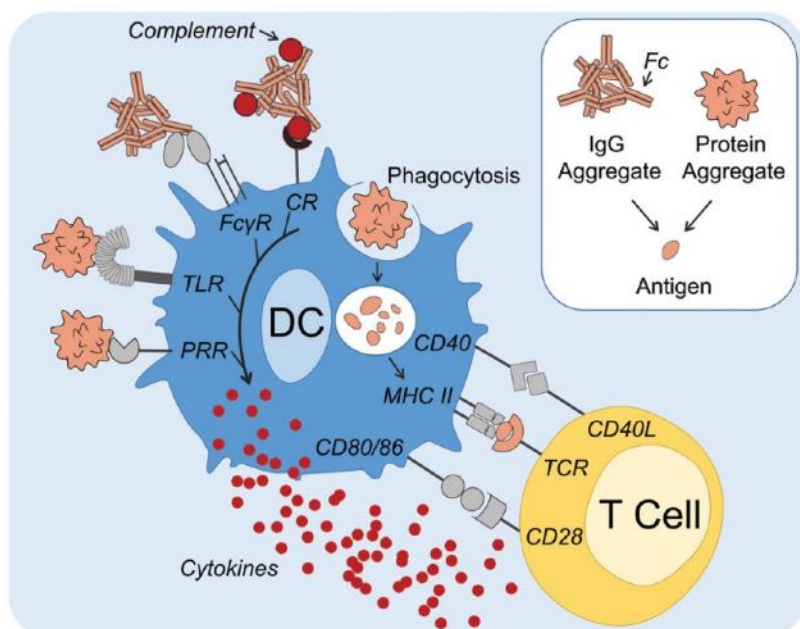
*Vrieling et al. Coll Surf.  
(2019) 181:648-656*



*Joshi et al. AAPS J 15:85-94 (2013)*

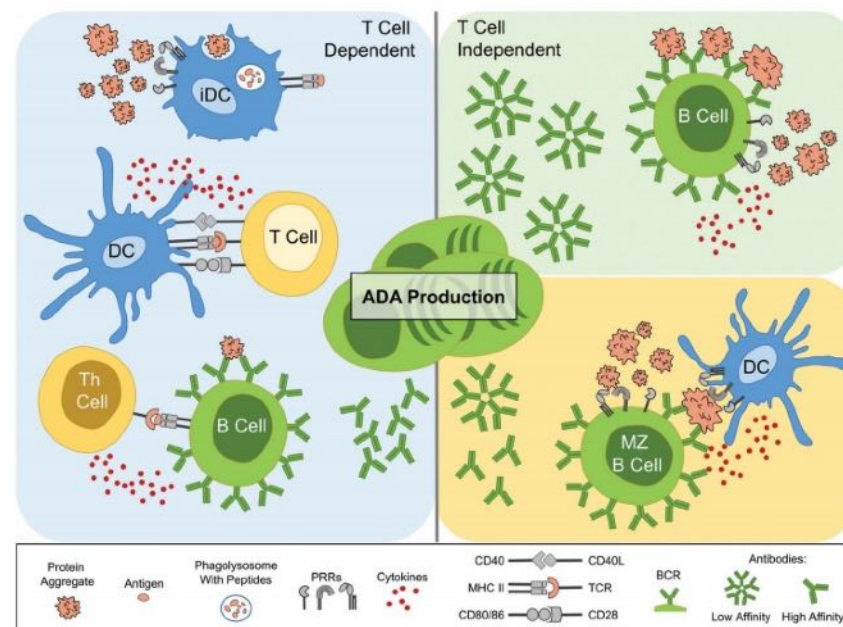
# Immune activation by aggregates and particles

## Activation of innate immune system



Aggregates can enhance DC maturation and antigen presentation

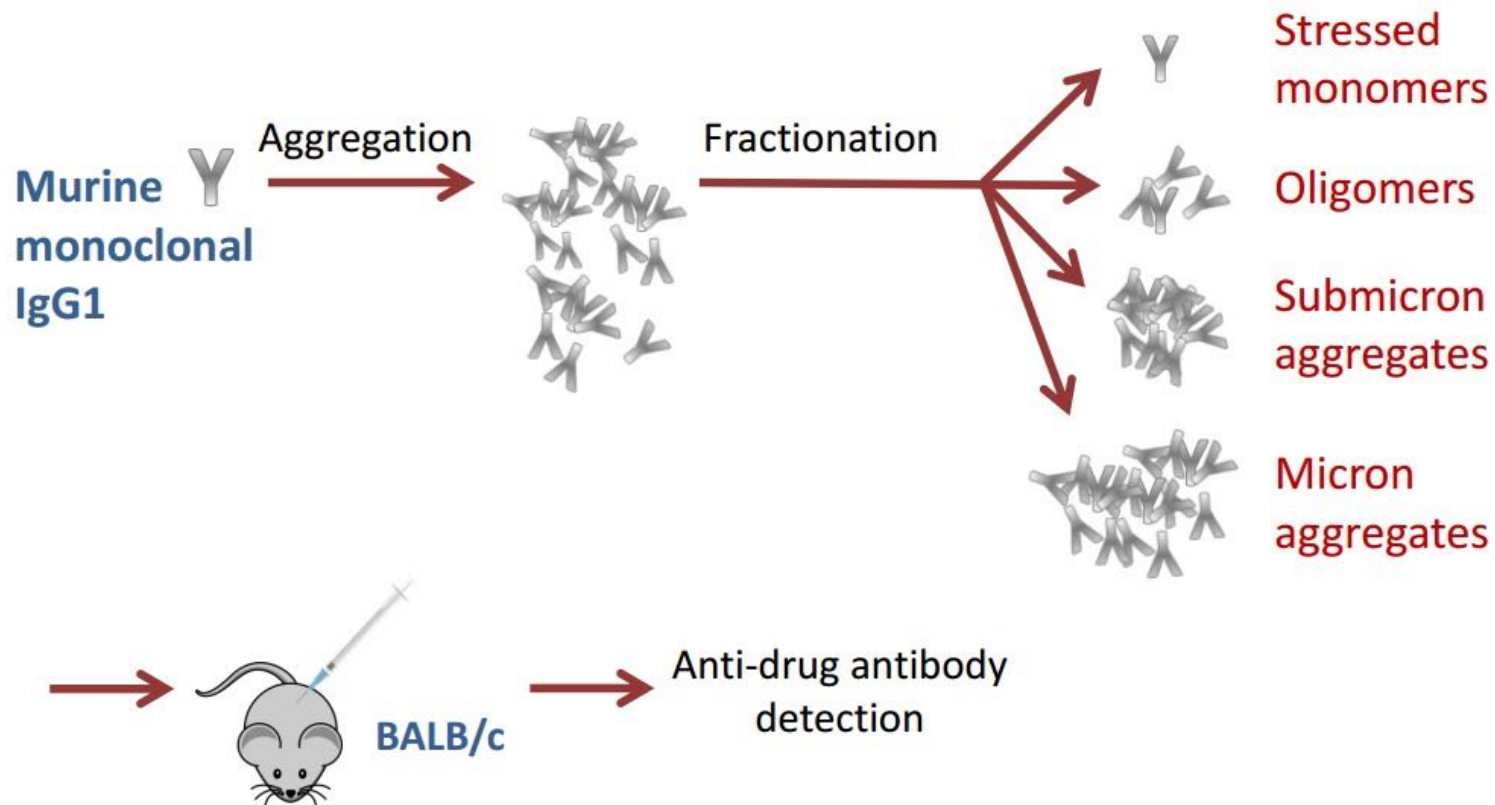
## Activation of adaptive immune system



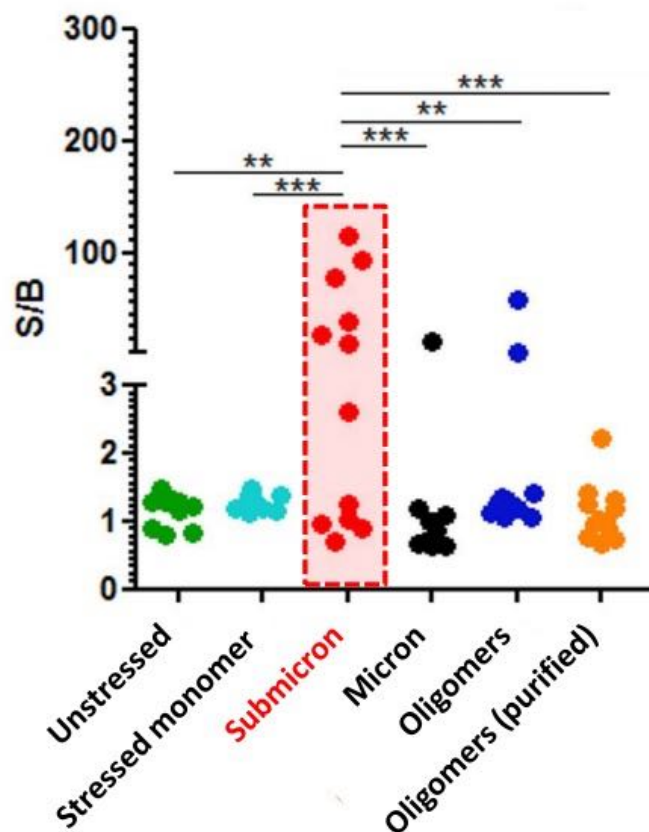
Aggregates can enhance several routes for ADA production

# mAb aggregates break tolerance in transgenic mice

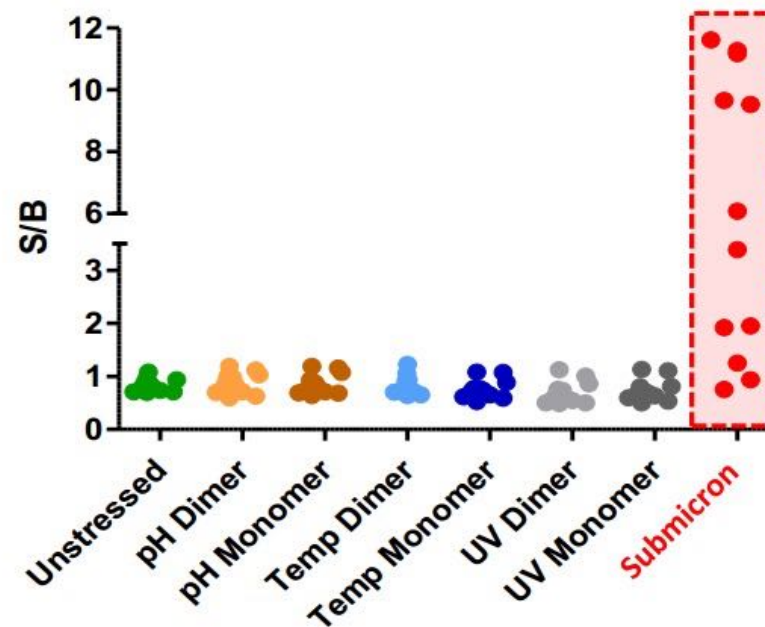
Stress protocol: pH 4.6, 65°C, 30-60 min + stirring (700 rpm, 30 min)



# mAb aggregates break tolerance in transgenic mice



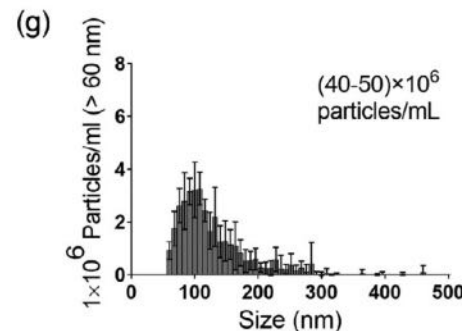
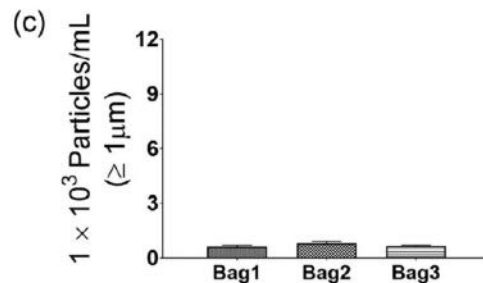
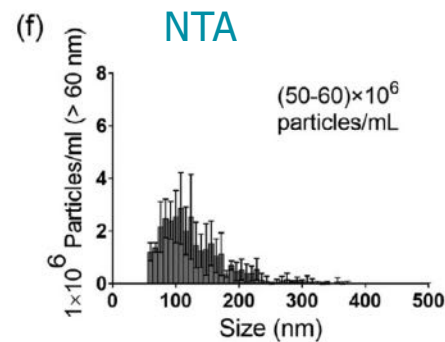
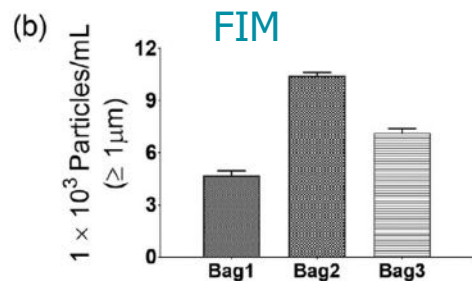
Kijanka et al. (2018) J Pharm Sci 107:2847-2859



Kijanka et al. (2020) J Pharm Sci 109:730-738

# Activation of immune system by subvisible particles

- Infliximab (i.v.): > 20% adverse infusion related reactions and ~ 50% neutralizing antibodies
- What is the role of subvisible particles?



After dilution of infliximab in saline and infusion without in-line filter

*In vitro* effect of particles from infusion solutions:

- Induction of IL-6 secretion (whole blood samples)
- TLR stimulation
- T-cell proliferation

After dilution of infliximab in saline and infusion with in-line filter (0.2 μm)\*

\*similar results with 1.2 μm filter

# SVP and adverse events in Peginesatide

- **Peginesatide**: synthetic erythropoietin peptide mimetic covalently dimerized and linked to PEG
- Market approval 2012 (FDA) and withdrawn again in 2013 due to adverse events (anaphylaxis)
- FDA investigation pointed towards subvisible particles playing a role

Clinical Phase 3:  
mainly single-use vial (SUV)



Commercialization:  
multi-use vial (MUV)

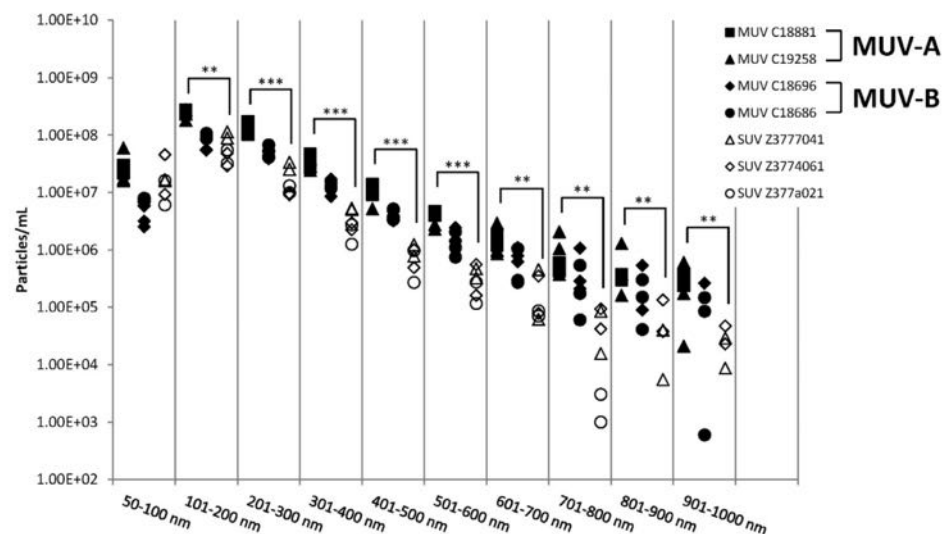
Particles > 10  $\mu\text{m}$  & > 25  $\mu\text{m}$  in light obscuration (USP <788> compendial test) were comparable for SUV and MUV



# SVP and adverse events in Peginesatide

A closer look with additional particle characterization methods

Nanoparticle tracking analysis (NTA): submicron particles 50 – 1000 nm



**Table 1**

NTA Peginesatide Median Particle Concentrations<sup>a,b</sup>

Hydrodynamic Diameter (nm)	SUV	MUV	<i>p</i> <sup>c</sup>
50-1000	9763	29,934	0.028
50-100	1610	1197	0.673
101-200	5176	14,426	0.022
201-300	1155	8526	0.001
301-400	286	2068	0.001
401-500	88	519	0.001
501-600	30	237	0.001
601-700	8	102	0.003
701-800	3	48	0.002
801-900	2	30	0.002
901-1000	2	18	0.008

<sup>a</sup> Particles/mL ( $\times 10^4$ ).

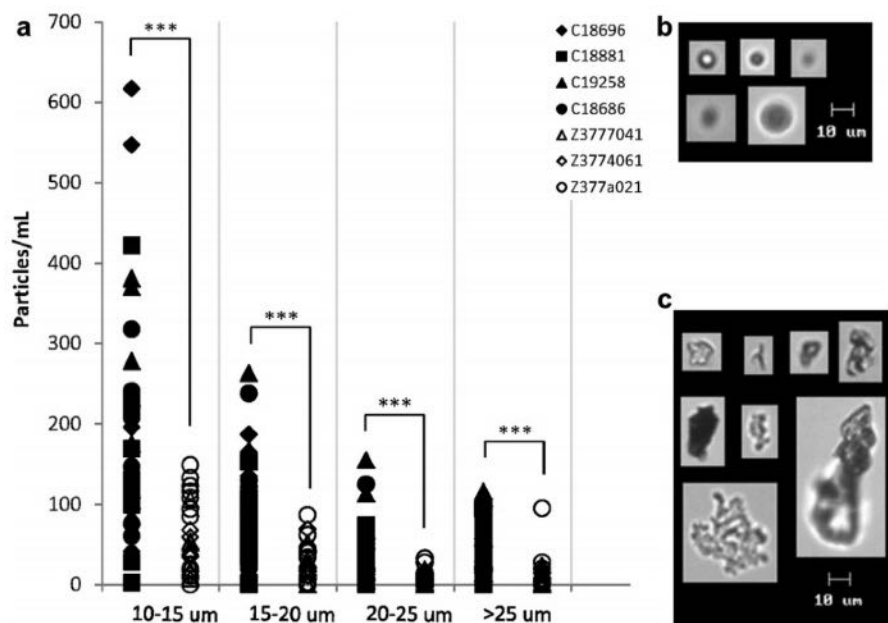
<sup>b</sup> SUV and MUV were independently measured 6 (each SUV lot in duplicate) and 12 (each MUV lot in triplicate) times, respectively.

<sup>c</sup> Mann–Whitney test.

# SVP and adverse events in Peginesatide

A closer look with additional particle characterization methods

Flow Imaging Microscopy: micron particles > 2  $\mu\text{m}$



**Table 2**

Normalized FlowCAM Peginesatide Particle Concentrations<sup>a</sup>

ESD ( $\mu\text{m}$ )	SUV			MUV			
	Z377a021	Z3777041	Z3774061	C18686	C18696	C18881	C19258
10-15	1.00	0.40	0.54	2.24	3.28	2.28	3.23
15-20	1.00	0.76	0.48	3.13	4.29	3.11	5.49
20-25	1.00	0.49	0.43	4.05	4.73	4.54	7.28
$\geq 25$	1.00	0.82	1.92	13.60	9.02	14.26	24.69

<sup>a</sup> Mean particle concentrations normalized to SUV lot Z377a021 (see [Materials and Methods](#) section). Each lot was independently measured 5-10 times.

*Kotarek et al. (2015) J Pharm Sci 105:1023-1027*

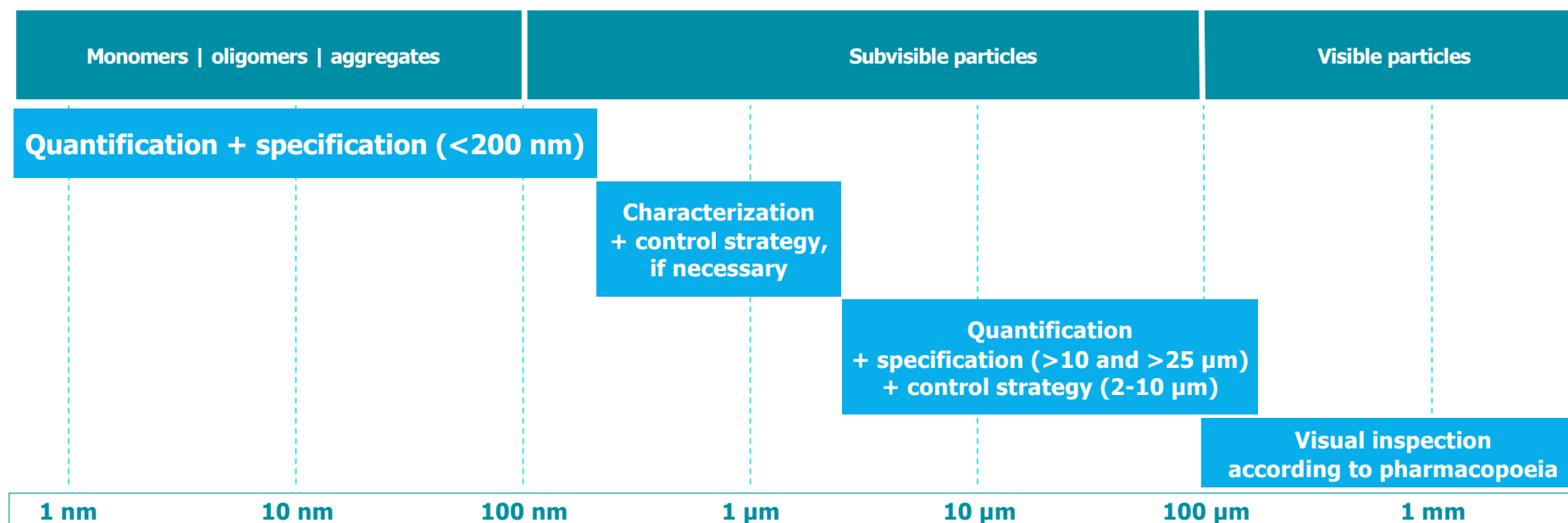


# Particles and immunogenicity

- Particulate antigens are highly immunogenic: very low amounts (1-100  $\mu\text{g}^*$ )  
particulate protein antigen in vaccines  
*\*1- 100  $\mu\text{g}$  of a particulate protein antigen would be  $\sim 0.001$ -0.1% of a mAb dose of 100 mg and not detectable by methods like HP-SEC*
- Protein aggregates and particles can activate innate and adaptive immune system
- Factors that play a role are size, number, morphology/surface characteristics, (native) structure, chemical modifications, ... of aggregates
- Aggregates and particles in biopharmaceuticals are considered as risk factor for immunogenicity

Analytical methods for a comprehensive characterization of aggregates and particles is an important part of drug product development

# Regulatory expectations particle analysis



## Thorough characterization already at early development stages:

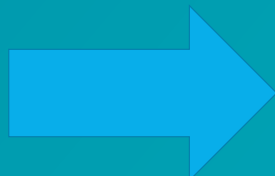
- Analysis of samples from different batches, forced degradation studies, stability studies, shipment studies, etc.
- Risk assessment, including those studies, in combination with clinical data and development of a control strategy
- FDA does not have a preferred method; **orthogonal methods** should be used whenever possible

# Orthogonal methods

Orthogonal methods can compensate for limitations of an individual analytical technique



HP-SEC



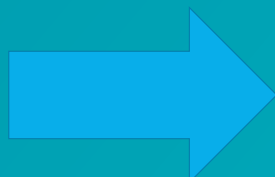
Oligomers



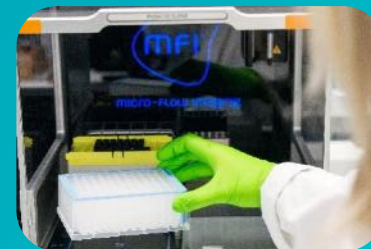
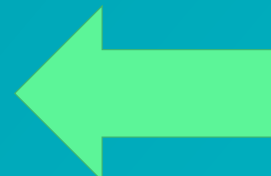
SV-AUC



LO

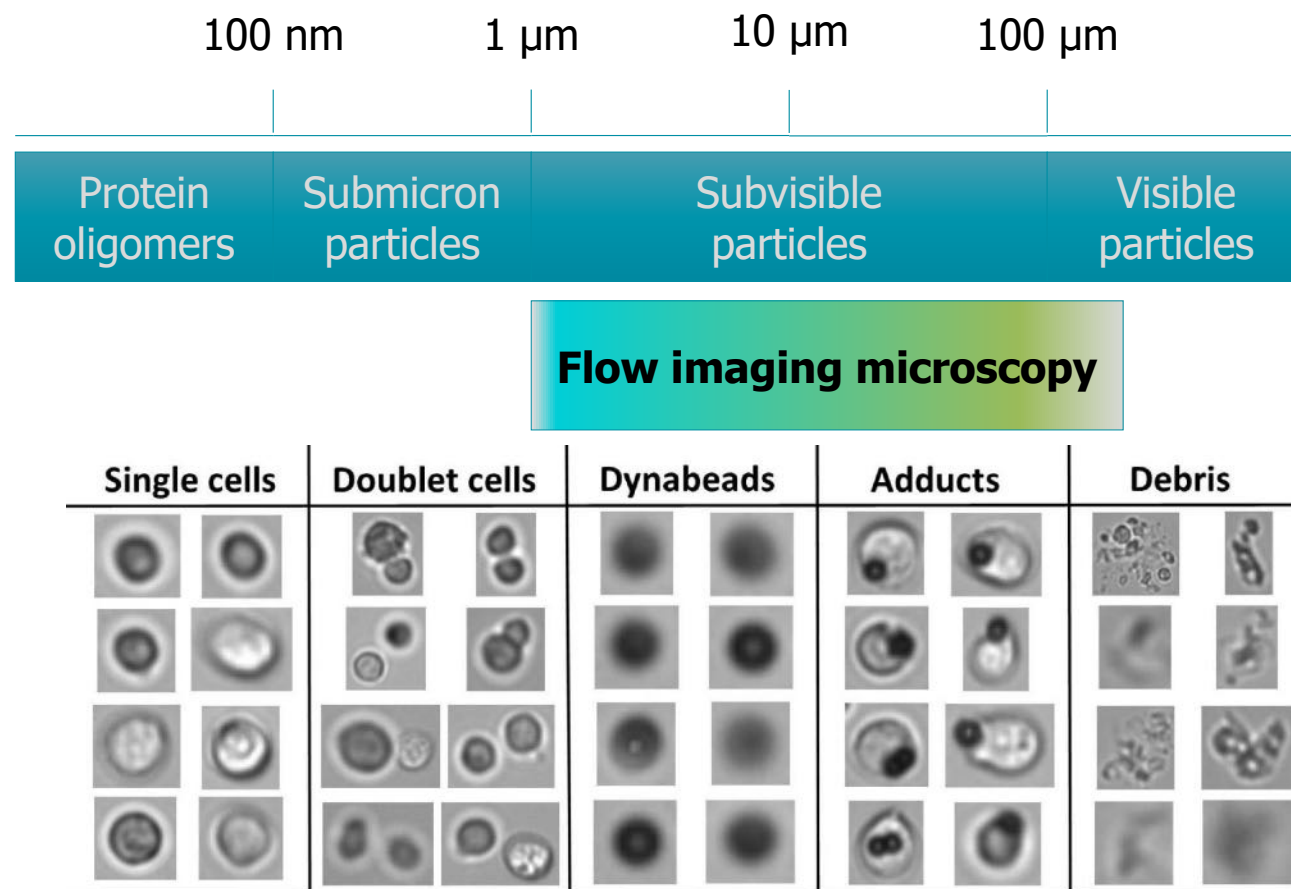
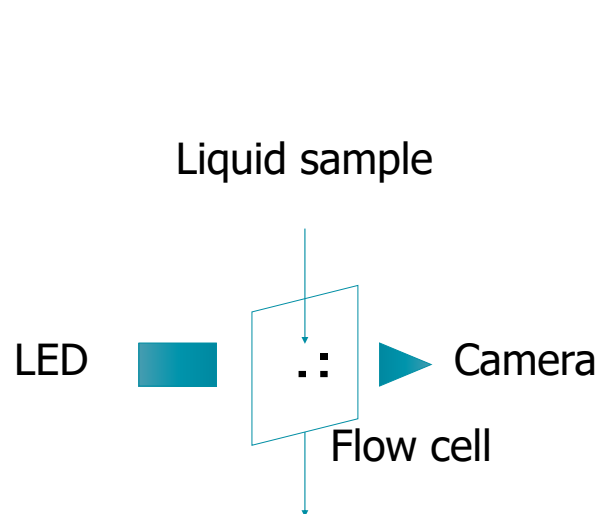


Subvisible particles

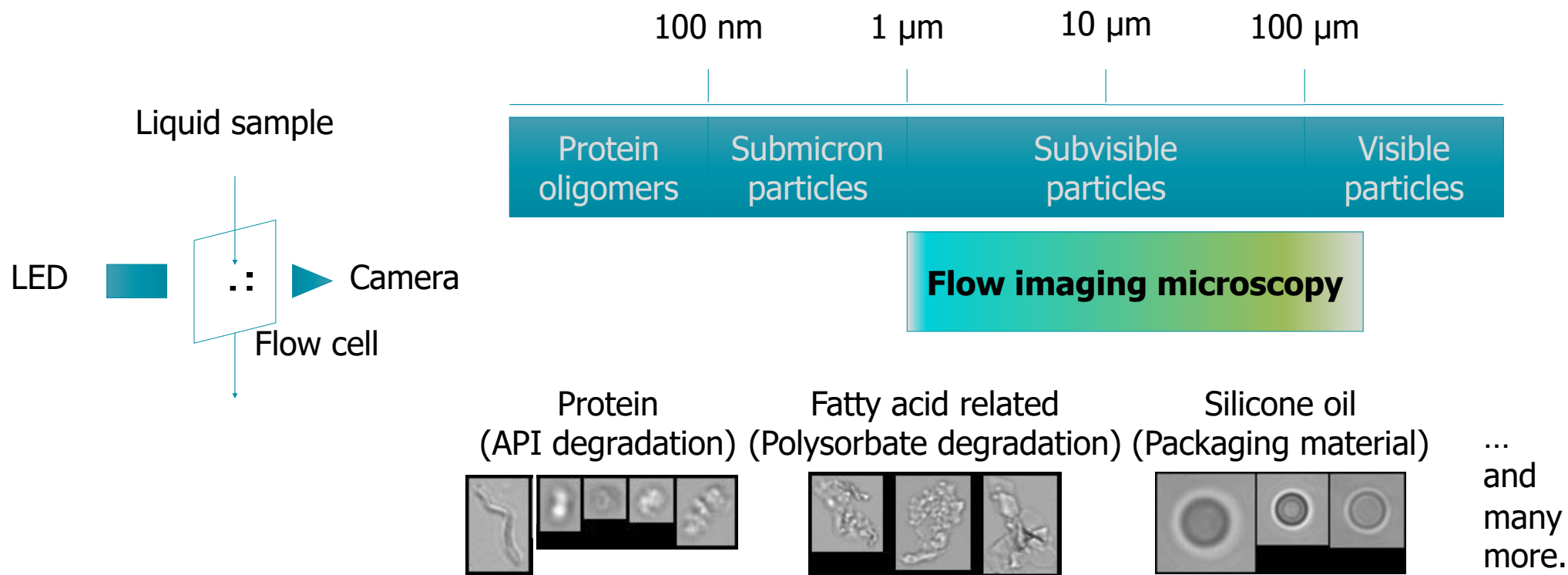


FIM

# Flow imaging microscopy

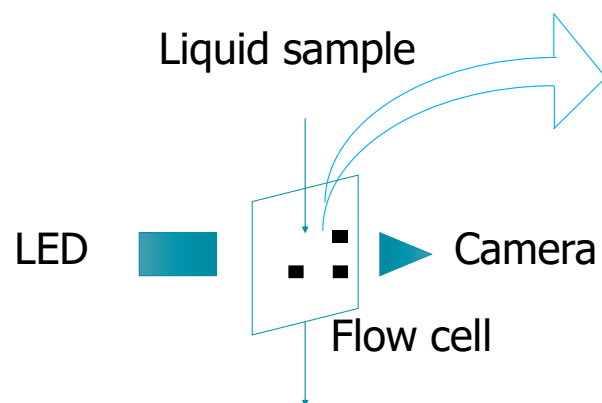


# Flow imaging microscopy

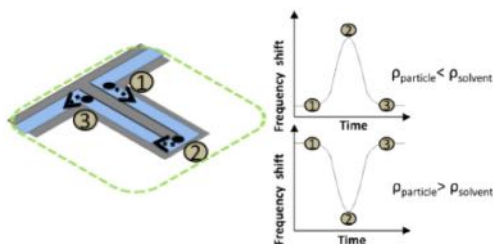


# Differentiation protein particles and silicone oil

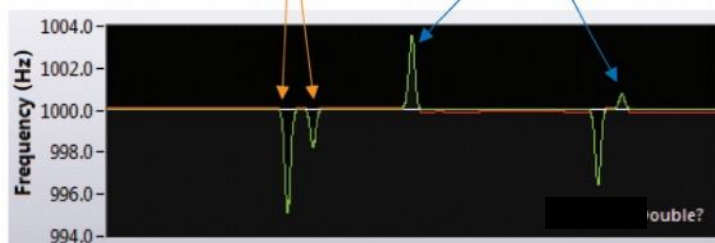
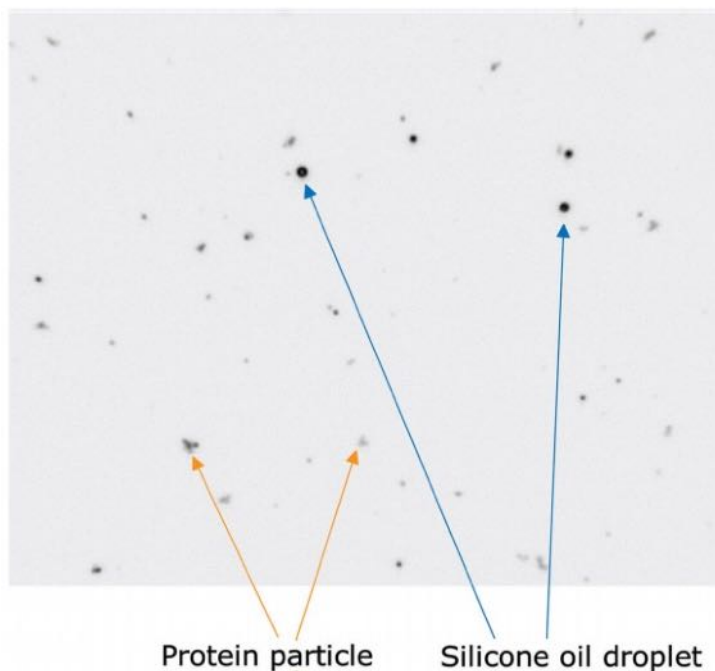
## Flow imaging microscopy



## Resonant mass measurement

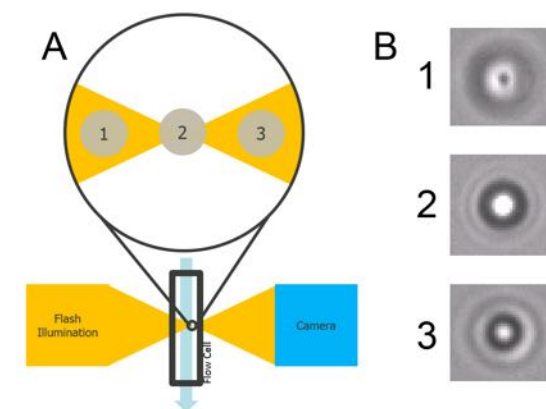


Groß-Rother et al. (2020) *Pharmaceutics* 12(11): 1112



Weinbuch et al. (2013) *J Pharm Sci* 102(7): 2152-65

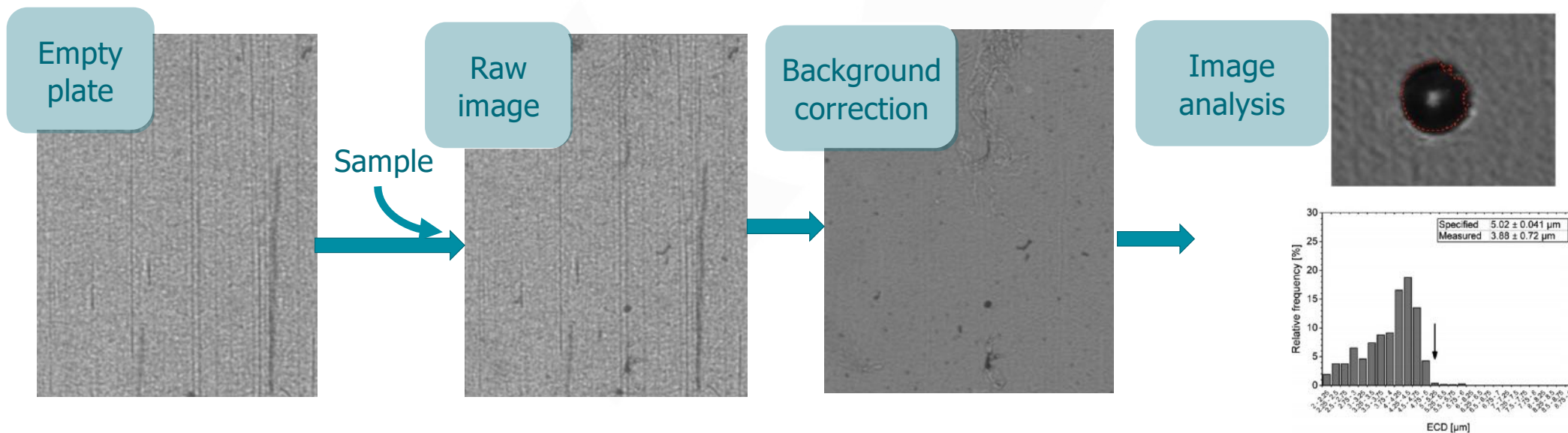
## Oil immersion flow imaging microscopy



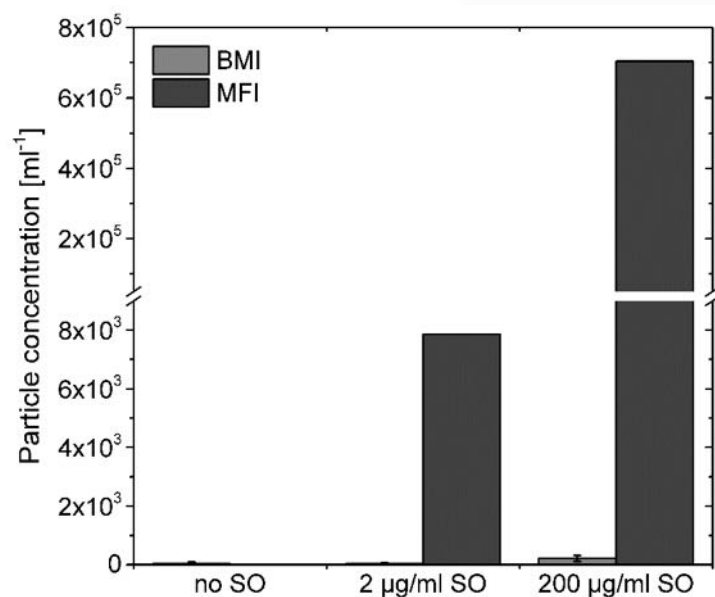
Krause et al. *AAPS J*, 2021 23:13

# Backgrounded membrane microscopy (BMI)

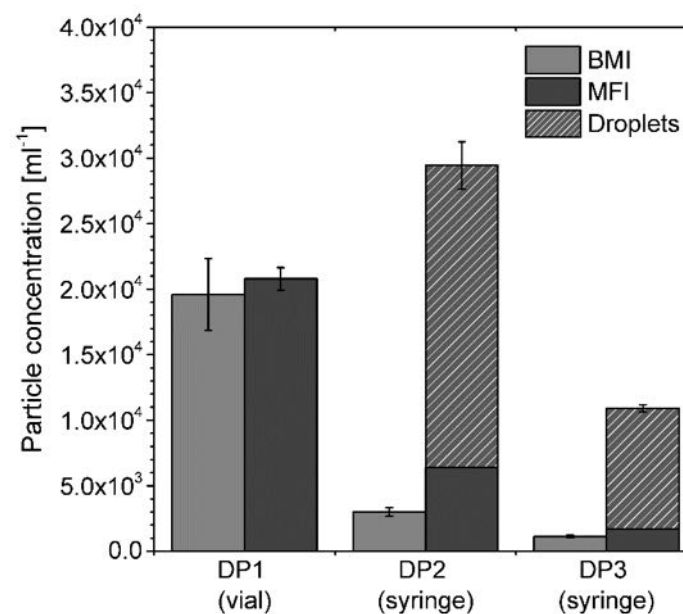
- Automated, 96-well plate-based membrane microscopy
  - Throughput: 2 to 3 hrs/plate
  - Applied volume:  $\sim 50\text{-}100\ \mu\text{l/well}$
- Analysis of particles  $>2\ \mu\text{m}$



## BMI: separation of protein aggregates from silicone oil droplets



Silicone oil droplets pass filter membrane;  
Only "solid" particles >2 µm are detected

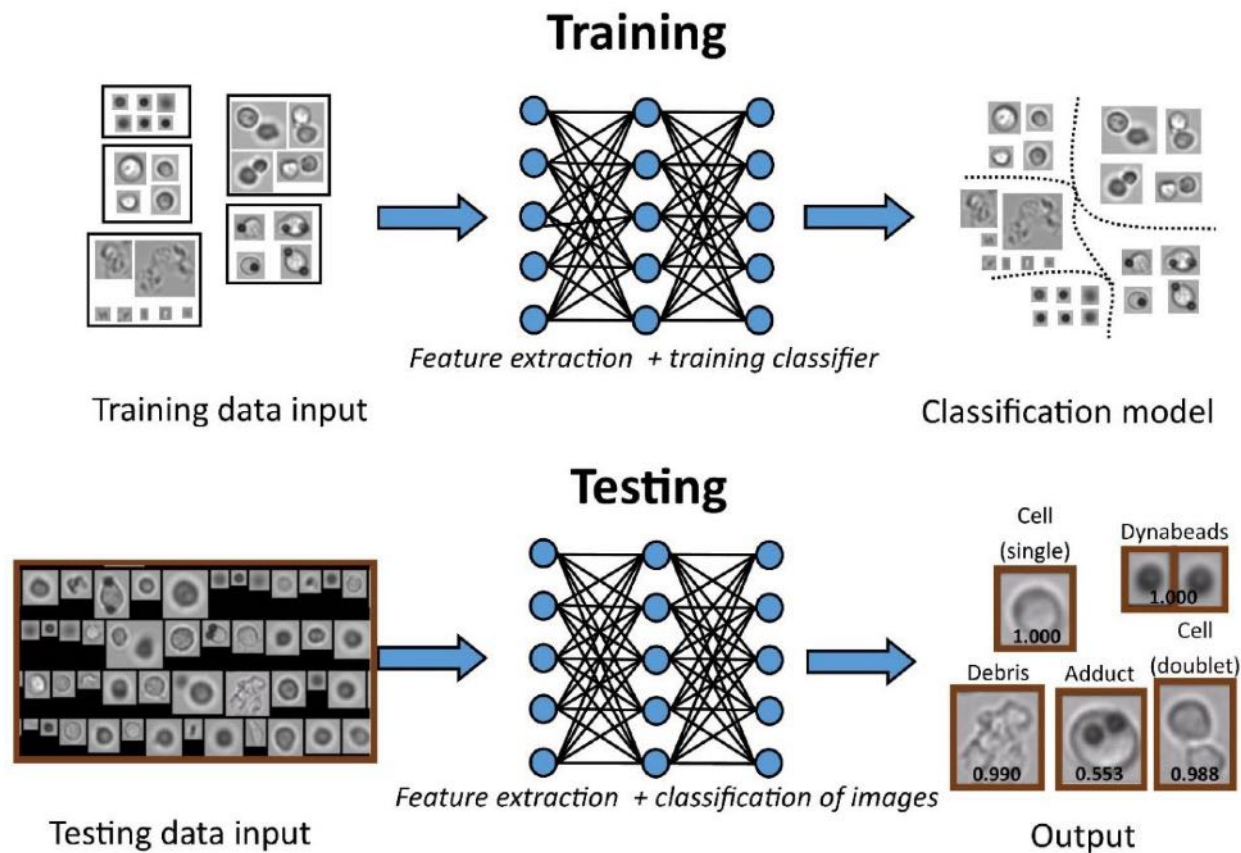


↑ No silicone oil droplets expected  
↑ Silicone oil droplets expected

BMI can be used as orthogonal technique to flow imaging microscopy



# Deep learning to improve particle classifications



# Summary and conclusions

- Particles are considered as risk factor for immunogenicity of biopharmaceuticals
- Particles in biopharmaceuticals are critical quality attributes and require close analytical characterization
- Several techniques incl. FIM, RMM, BMI (among others) can be applied to differentiate between protein aggregates and silicone oil droplets (> ca. 0.5  $\mu\text{m}$ )
- The analytical tool-box is broad – depending on the scope of analysis and product a suitable method selection should be made

# Acknowledgements

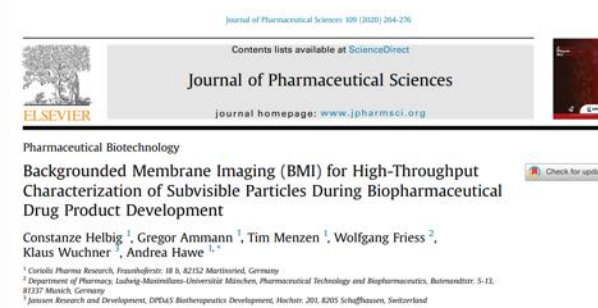
- Tim Menzen
- Wim Jiskoot
- Constanze Helbig
- Adam Grabarek
- Nils Krause
- All co-authors and collaborators



## Research Article

### Oil-Immersion Flow Imaging Microscopy for Quantification and Morphological Characterization of Submicron Particles in Biopharmaceuticals

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# Inherent, intrinsic and extrinsic particles

## Extrinsic particles

are not part of the formulation, package, or assembly process and may originate from

### *Examples:*

- *Biological external sources (e.g., insect parts, pollens, vegetative matter)*
- *Building materials (e.g., non-process-related fibers, lint, minerals, paint)*
- *Personnel (e.g., epithelial cells, clothing fragments, hairs)*

## Intrinsic particles

derive from sources within the formulation ingredients, assembly process, or primary packaging materials

### Examples:

- Introduced and/or not completely removed during, cleaning and preparation (e.g., elastomers from seals and gaskets, container plastic or glass shards, stainless steel parts)
- Lubricants of primary packaging components (e.g. silicone oil in siliconized syringes)
- Changes in the drug product over time, which may be related to:
  - i) ionic or organic extracts (e.g., leachables from rubber stoppers)
  - ii) instability of the active pharmaceutical ingredient (e.g., unexpected subvisible and visible protein particles)
  - iii) excipient degradation (e.g., fatty acid particles from degraded polysorbate)
  - iv) product-package interaction (e.g., glass delamination)

# Inherent, intrinsic and extrinsic particles

## Extrinsic particles

are not part of the formulation, package, or assembly process and may originate from

*Examples:*

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- *Personnel (e.g., epithelial cells, clothing fragments, hairs)*

## Intrinsic particles

derive from sources within the formulation ingredients, assembly process, or primary packaging materials

## Inherent particles

are (i) intentionally present (API = particle) or (ii) expected (product-formulation-related particles characteristic of the product if their presence is measured, characterized, and determined to be part of the clinical profile).